



# Superannuation Data Standard Technical Group

## Key Messages

File ref: 11/2020

<b>Title:</b>	Superannuation Data Standard Technical Group (SDSTG)		
<b>Issue date:</b>	15 December 2020		
<b>Venue:</b>	WebEx		
<b>Event date:</b>	26 November 2020	<b>Start:</b> 10.00am	<b>Finish:</b> 11.45am AEDST

<b>Chair:</b>	Larissa Evans	Assistant Commissioner, Superannuation and Employer Obligations
<b>Contact:</b>	Aimee Peak	<b>Contact phone:</b> 02 9762 6849

<b>Industry Attendees:</b>	Yong Zhang, GBST Jamie Hancock, IFF/Australian Payroll Association Damian Eley, Intunity/PWC Matt Rea, Link Group David Kerr, NAB Tristan Herbert, CSC Grant Doherty, Westpac Mary Costello, GNGB	David Delaney, ANZ Stephen Milburn, Sunsuper Brett Hillier, Suncorp Paul Larsen, ASFA Michael Vernik, Bravura Solutions David Ams, IRESS Fraser Cooper-Southam, Superchoice Philip Boadi, Class
<b>ATO Attendees:</b>	Shane Moore, Superannuation & Employer Obligations, ATO Joe Maxymenko, Superannuation & Employer Obligations, ATO Tracie Crowden, Superannuation & Employer Obligations, ATO Ian Morgan, Superannuation & Employer Obligations, ATO Michael Cooper, Superannuation & Employer Obligations, ATO Sharna Maltman, Enterprise Solutions and Technology, ATO	

Sangitha Sivayogaraj, Enterprise Solutions and Technology, ATO  
Alex Barnes, Enterprise Solutions and Technology, ATO  
Ben Foster, Digital Communication & Identity Services, ATO

**Apologies:** Stephen Milburn, Sunsuper  
Claire Miller, Digital Communication & Identity Services, ATO

**Next meeting:** Thursday 4 February, 2021

### Agenda item: 1– Welcome, opening remarks and action item update

1. Larissa Evans opened the meeting with the acknowledgment to country. Larissa welcomed all attendees and introduced DPO representatives Ian Lloyd and Paul Mosca to the group as observers and noted that Ben Foster from Digital Identify would now present agenda item 5.
2. Shane provided an update to Action items. Key messages included:
  - a. 30.09.2020-4: The item relates to SMSF aliases and lightweight API currently under discussion. Internal ATO discussions are occurring regarding progressing this issue. Joe Maxymenko welcomed any questions or feedback outside of the session.
  - b. 22.01.2020-3: Confirmation this item remains on hold.

### Agenda item: 2 – SDSTG issues register call over

3. Shane Moore led a discussion providing an update on the issues register. Key messages included:
  - a. IR001 – Sangitha updated the group on the latest dataset moving into EVTE conformance testing:
    - i. Currently updating to include APRA and SMSF members in RA, RAS and EPF test scenarios, which will be available in EVTE on the 12<sup>th</sup> of December.
    - ii. FVS echo service is currently on hold while we investigate software version upgrade options for EVTE. The investigation will allow ATO to assess the new capability of EVTE mocks and implementation options for FVS echo service.
    - iii. The project team to be involved with co-ordinating industry to onboarding. Early adopters to work with Joe and their DSP account managers if coming on board January – February.
    - iv. Outages will be limited during this transition time, and any periods of potential outages will be shared with the group once this has progressed further.
  - b. IR002 – This issue regarding validation rules will remain On Hold in the issues register.

**Agenda item: 3 – SuperMatch Update**

- 4. Shane Moore advised as at 26 November 2020 the ATO have now received 44 applications to reconnect to the service and is currently working with final funds to re-join the service. Key reminders about the application:
  - a. Need to disclose full results of a SuperMatch request to a member
  - b. Be clear on consent for both search and consolidation requests
  - c. There needs to be full supply chain visibility of how SuperMatch data is used and how the information is passed through the system.
- 5. The ATO will be monitoring usage of the service, and there is the expectation that funds and DSPs are undertaking monitoring to ensure no suspicious transactions are present. There have already been instances of suspicious behaviours occurring again – repeated use of same TFN, mobile number and address. We encourage funds to contact the ATO to discuss this if it is occurring.
- 6. It was noted that the focus is often on the security of money, however, the security of member data and information is equally important.

Action item:	Due date:	Responsibility:
26.11.2020-1	31.01.2021	ATO – Shane Moore

The ATO is produce a paper highlighting factors that can indicate suspicious behaviour to share with industry groups (including SDSTG) and the GOM

**Agenda item: 4 – Rollover V3 project update / Conformance testing and onboarding**

- 7. Ian Morgan provided a project update for Rollover V3:
  - a. A new webpage has launched as a single source of information on Rollover V3 for large funds and providers: <https://www.ato.gov.au/Super/Sup/SuperStream-Rollover-v3/>
  - b. Separate communications are being prepared for the SMSF trustee market
  - c. The next meeting of the co-design group is on 3 December
  - d. Transition dates are still being clarified as there have been delays due to COVID-19. It was noted that there is some confusion regarding the transition dates - note action item below.
  - e. Alex Barnes confirmed that the major release for Q1 is 12-14 March 2020. The providers that have met the requirements will be whitelisted on Monday 15 March.
  - f. Joe advised that there will be an update the legend in the Readiness Summary
  - g. It was confirmed that any question regarding the consultation and transition period be sent to [Super&EmploymentConsultation@ato.gov.au](mailto:Super&EmploymentConsultation@ato.gov.au)
- 8. Sangitha Sivayogaraj provided an update on the conformance suite:
  - a. Confirmation that the taxonomy has been updated with the USM reason codes. It was noted that the update will into the EVTE from January – any providers undergoing testing now will note that current rules.

**POST-SDSTG update:** The EVTE release with updated validation rules and error code for USM reason codes has been brought forward to December 12<sup>th</sup>.

- b. Joe advised as short webinar is being run in the week commencing 30 November covering updates to access manager, business permissions and SBR permission. It was noted that this information is for gateway providers and administrators currently, will be rolled out for funds and SMSFs in 2021. Members to contact Joe if further information is required.

<b>Action item:</b> 26.11.2020-2	<b>Due date:</b> 31.01.2021	<b>Responsibility:</b> ATO – Ian Morgan
The ATO to provide clarification on the dates when a DSP can go live with Rollover V3		
<b>Action item:</b> 26.11.2020-3	<b>Due date:</b> 11.12.2020	<b>Responsibility:</b> ATO – Joe Maxymenko/Mark Ely
The Readiness Summary legend to be updated		

**Agenda item: 5 –Digital Identity Program**

- 9. Ben Foster provided an overview of the ATOs Digital Identity Program, and thanked industry for partnering with the ATO during this transition period.
- 10. AUSKey was successfully decommissioned in March 2020, with myGovID and RAM providing the replacement Digital Identity Solution.
- 11. The adoption rate of these solutions far exceeded expectation and was pivotal in enabling stimulus measures.
- 12. The next update will be facial verification with liveness testing (Identity Proofing Level 3 – IP3). It was confirmed that this is not mandatory – it is optional for those individuals wishing the strengthen their MyGovID. For the ATO, this will provide an online solution for actions such as applying for a TFN, which would normally require face-to-face interaction.
- 13. The group provided feedback that the updates have been received favourably.

**Agenda item: 6 – NPP working group update**

- 14. The group discussed the latest updates from the NPP working group, including a discussion regarding how the updated mandated payment system (similar to direct debit) will work. This new system will assist in providing more documentary evidence of the approval of a payment.
- 15. Confirmation that the NPP will be used alongside existing channels for the foreseeable future – there will need to be flexibility in the system to allow for some rollovers to occur using bank transfer, or by Pay ID. There will need to be a change to the standard for optional fields to allow those using NPP to transition, but still accept other payment methods for those who have not yet transitioned.

<b>Action item:</b> 26.11.2020-4	<b>Due date:</b> 31.01.2021	<b>Responsibility:</b> ATO – Shane Moore and Larissa Evans
The ATO to undertake an assessment to see if there is the capability in the Data and Payment Standard to accommodate NPP and current payment methods		

### Agenda item: 7 – Other business and closing comments

16. Larissa asked the group for any other business that needed to be raised in the meeting:
  - a. The Business Continuity Plan (BCP) event will be moved to February 2021 as COVID-19 has disrupted the normal program of work. (event was originally scheduled to occur in December)
  - b. Noted that there are no planned major outages over the Christmas period, although ATO will confirm staff availability from 4 January 2021.
  - c. Grant Doherty will send through issue regarding 'other amounts tuple'. This will be noted for the next meeting.
17. Larissa advised that the date of the next SDSTG will be confirmed before Christmas closedown.
18. Larissa thanked all attendees and closed the meeting.