



Superannuation Data Standard Technical Group

Key Messages

File ref: 02/2021

Title:	Superannuation Data Standard Technical Group (SDSTG)		
Issue date:	25 February 2021		
Venue:	WebEx		
Event date:	4 February 2021	Start: 10.00am	Finish: 11.45am AEDST

Chair:	Larissa Evans	Assistant Commissioner, Superannuation and Employer Obligations
Contact:	Aimee Peak	Contact phone: 02 9762 6849

Industry Attendees:	John Kennedy, CBA Yong Zhang, GBST Damian Eley, Intunity/PWC Matt Rea, Link Group David Kerr, MLC Tristan Herbert, CSC Grant Doherty, Westpac Mary Costello, GNGB David Delaney, IOOF	Stephen Milburn, Sunsuper Brett Hillier, Suncorp Paul Larsen, ASFA Michael Vernik, Bravura Solutions David Ams, IRESS Fraser Cooper-Southam, Superchoice Philip Boadi, Class Jamie Hancock, IFF/Australian Payroll Association
ATO Attendees:	Shane Moore, Superannuation & Employer Obligations, ATO Joe Maxymenko, Superannuation & Employer Obligations, ATO Ian Morgan, Superannuation & Employer Obligations, ATO Michael Cooper, Superannuation & Employer Obligations, ATO Sharna Maltman, Enterprise Solutions and Technology, ATO Sangitha Sivayogaraj, Enterprise Solutions and Technology, ATO Alex Barnes, Enterprise Solutions and Technology, ATO Kellie Johnson, Superannuation & Employer Obligation, ATO	

Next meeting: Wednesday, 31 March 2021

Agenda item: 1– Welcome, opening remarks and action item update

1. Larissa Evans opened the meeting with the acknowledgment to country and welcomed attendees to the first meeting of the Superannuation Data Standard Technical Group for 2021.
2. Shane Moore provided an update to action items. Key messages included:
 - a. 22.01.2020-3: Shane Moore advised this item remains on hold. David Delaney noted that there is increasing industry focus on occupation categories as they connect to default insurance. The group discussed that there are fundamental items (like establishing standard definitions and consistent application) that are required before occupation categories being utilised in SuperStream messages. This item will remain on hold – open to advice from industry reps if this becomes a higher priority.
 - b. 30.09.2020-4: The item relates to SMSF aliases and a lightweight API service that could be provided by the ATO. This is currently with EST who are looking at scheduling the release date. Joe Maxymenko will keep the group informed.
 - c. 26.11.2020-1: Shane Moore advised this item will be discussed further in agenda item 3
 - d. 26.11.2020-2: Ian Morgan advised Rollover V3 will go live on 29 March. The ATO will engage with some funds for testing prior to the release date.
 - e. 26.11.2020-4: No update for this meeting.

Agenda item: 2 – SDSTG issues register call over

3. Shane Moore led a discussion providing an update on the issues register. Key messages included:
 - a. IR001 – Sangitha Sivayogaraj confirmed there are no significant updates for this meeting. The schedule for rollout is being planned, with the rollout to have minimal disruption on normal processing
 - i. Stephen Milburn asked about the update to the conformance suite data credentials. Sangitha confirmed that this in the queue to be actioned. It was agreed this will be added as an action item for this group to keep update to date on the issue
 - b. IR002 – This issue regarding validation rules will remain on hold in the issues register.
 - c. IR010 – Grant Doherty provided some context around the issue, explaining that there are some situations where a fund has received a contribution message/payment containing tuples and has rejected the entire message and payment without processing any of the member contributions within the message. Different approaches to resolution were discussed, including the publishing of a guidance note, or investigating if the single contribution can be rejected rather than the whole message.
 - i. It was agreed that Grant Doherty will provide some statistics about the frequency of this issue, and the group will decide from there what the best approach would be.

Action item: 04.02.2021-1	Due date: 31.03.2021	Responsibility: ATO – Sangitha Sivayogaraj
The ATO to keep group updated on progress of conformance suite data credentials		
Action item: 04.02.2021-2	Due date: 31.03.2021	Responsibility: Qvalent - Grant Doherty
Grant Doherty to provide data on frequency of messages containing populated tuples being rejected and a sense of how many entities have responded in this way		

Agenda item: 3 – SuperMatch Update

4. Shane Moore advised as at 4 February 2021 the ATO has approved re-connection applications from over 50 funds who previously used SuperMatch prior to the service being switched off. Key comments included:
 - a. Once reconnected, there is regular monitoring of funds to ensure system controls are in place and confirming that funds are acting if suspicious transactions are occurring.
 - b. Most funds are using the interim solution, with a reminder that the compliance date for using the full solution is 30 June 2021.
 - c. The following were discussed as things to look for in monitoring usage:
 - i. Commonality in an update to member contact (mobile or email address), and/or bank account information prior to an outbound rollover, which has been fraudulent and only identified where the member received an SMS alert, or a letter confirming rollover.
 - ii. Creation of staging accounts using temporary or known suspicious email domains when opening accounts.
 - iii. Keeping an eye on the broader cyber environment is important as we have seen information connected to data breaches used in opening accounts and attempting to connect to SuperMatch.
 - d. Funds looking to apply for reconnection to SuperMatch should contact the SPR Enabling Services Team on SPREnablingServices@ato.gov.au and a team member will be in touch.

Agenda item: 4 – Rollover V3 project update / Conformance testing and onboarding

5. Ian Morgan provided a project update for Rollover V3 and confirmed that the co-design group will convene in the coming weeks.
6. Larissa Evans advised that a weekly written update about testing and onboarding will issue to the groups that are engaged in this process (Co-design group, SDSTG, GOM and ASP). This will ensure consistent and transparent messaging across all platforms at a point in time. The first update will be issued after this meeting.

Post meeting note: First communication issued 4 February 2021 – weekly updates are now published on the [Rollovers v3 webpage](#)

7. The EVTE testing process is continuing, the gateway operators participating in the testing have progressed through 50% of the scenarios. Issues are being identified and addressed promptly. Scenarios that have already been tested include receiving a Release Authority for an APRA Fund; and sending and receiving of USM and Section 20c notices. More information will be available in the regular communications to be issued.
8. Joe Maxymenko provided an update regarding Access and Business Appointments for SMSF Services, advising there had been confusion over machine to machine credentials at ABN level. It was clarified there are no inherited credentials/permissions, and this is the same technology that was used for SBR2. A presentation/paper is being put together to assist entities in understanding this process. It was noted that this is most relevant for entities that provide services to SMSFs.

Agenda item: 5 – SuperStream guidance on PRNs

9. Ian Morgan led a discussion the background on the process of creating customised PRNs unique to sender within a 12-month period under Schedule 3 and G021.
10. With the release of SuperStream rollover standard version 3 and the introduction of electronic release authorities (RAs), the volume of SuperStream rollover messages (in particular, those received by the ATO) will increase significantly. As such, the ATO is proposing to add a note (under 2.3.1.2(a)(iii)) that the ATO is unable to accept re-used PRNs.
11. The group was asked their thoughts regarding the ATO not being able to accept recycled PRNs
 - a. There are no concerns if the PRN is created by the system in an automated process, however issues may arise with how this data is aggregated.
 - b. Manual processing could be a concern as different teams will be processing – how can this be defined to ensure PRNs are not being repeated.
 - c. Standard format of Fund ABN (11 digits)+DDMM+3 digit sequence number accepted by the group for funds sending less than 1,000 messages per day. For funds sending more than 1,000 messages per day, then a custom format will need to be used.
 - d. Altering PRN format to ABN+DDMMYY+1 digit sequence number would require extensive system work by all of industry and be overly restrictive (allowing for only 10 messages per day).
 - e. ATO to present this feedback internally and review our systems/processing (no updates to be made to guidance notes).

Action item:
04.02.2021-3

Due date:
31.03.2021

Responsibility:
ATO – Ian Morgan

The ATO to keep group updated on internal consideration of feedback on PRNs.

Agenda item: 6 – NPP working group update

12. Shane Moore advised the NPP working group met on 28 January 2021 and noted the project is still in exploration stage.

Agenda item: 7 – Other business and closing comments

13. Sangitha raised the introduction of new platform behaviour in SBR2 as part of the Q2 June release:
 - a. The change relates to how the error handling component manages duplicate payload checking. The current behaviour is to return a SBR.GEN.FAULT.FAULTFROMAGENCY error response when a duplicate payload has been identified in the platform, which could occur for many reasons.
 - b. The use of SBR.GEN.FAULT.FAULTFROMAGENCY does not allow easy identification between duplicate matches vs other general faults which may occur during processing. To enable easier identification when a duplicate file is identified, the following change is being proposed:
 - i. New error code: CMN.ATO.GEN.DuplicateTransmission
Short Description: A duplicate transmission has been submitted.
 - ii. The MessageID of the original message SBR2 has matched against will also be supplied with the error message. Which can be used for initial investigation to determine if a duplicate message should have been sent, and also fast track resolution of the issue.
 - c. This change only applies to the BBRP (batch & bulk) channel, and not the SRP (real time) channel which does currently use payload duplicate checks.
 - d. The group had no concerns regarding this update, however if post-meeting there are concerns, please get in touch.
14. Larissa thanked all attendees and advised the next SDSTG will be held on Wednesday 31 March 2021.
15. Meeting closed.