



Superannuation Data Standard Technical Group – November 2021 key messages.

File ref: 11/2021

Title:	Superannuation Data Standard Technical Group (SDSTG)		
Issue date:	22 December 2021		
Venue:	WebEx		
Event date:	24 November 2021	Start: 10.00am	Finish: 12.00pm AEST

Chair:	Tracie Crowden	A/g Assistant Commissioner, Superannuation and Employer Obligations
Contact:	Darrel Cunnington	Contact phone: 07 3213 3265

Industry Attendees:	John Kennedy, CBA Yong Zhang, GBST Matt Rea, Link Group Tristan Herbert, CSC Grant Doherty, QValent Brett Hillier, Suncorp	Hans van Daatselaar, ASP Michael Vernik, Bravura Solutions Jack Gao, IRESS Fraser Cooper-Southam, SuperChoice Michelle Bower, GNGB David Delaney, ASP
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ATO Attendees:	Shane Moore, Superannuation & Employer Obligations, ATO Ian Morgan, Superannuation & Employer Obligations, ATO Tracy Holloway, Superannuation & Employer Obligations, ATO Sharna Maltman, Enterprise Solutions and Technology, ATO Sangitha Sivayogaraj, Enterprise Solutions and Technology, ATO Alex Barnes, Enterprise Solutions and Technology, ATO Anupama Duggirala, Enterprise Solutions and Technology, ATO James Barry, Superannuation & Employer Obligations, ATO Joe Maxymenko, Superannuation & Employer Obligations ATO Michael Cooper, Superannuation & Employer Obligations, ATO Paul Mosca, Enterprise Solutions and Technology, ATO Blair Laughlan, Superannuation & Employer Obligations, ATO
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Katie Constance, Superannuation & Employer Obligations, ATO

Next meeting: Wednesday, 23 February 2022

Agenda item: 1– Welcome and opening remarks

Tracie Crowden opened the meeting with the acknowledgment to country and welcomed attendees to the meeting.

Agenda item: 2 – SDSTG Action Items and Issues Register call over

Shane Moore provided an update to action items.

ACTION ITEM	RESPONSIBILITY	DUE DATE	ACTION ITEM	STATUS
22.01.2020-3	Michael Cooper	N/A	The ATO to set up a small working group to discuss this issue around occupation codes being mandatory in a contribution message.	24-11-21 On hold
30.09.2020-4	Joe Maxymenko	30.06.2021	The ATO to investigate digital options for the SMSF Alias table	24/11/21 – New DSG gateway offering the SMSF Alias table went live 15/11/2021 of Beta testing. Anyone who would like to test the new API can contact FSEGovernance@ato.gov.au .
26.11.2020-4	Shane Moore/Tracie Crowden	30.06.2021	The ATO to undertake an assessment to see if there is the capability in the Data and Payment Standard to accommodate NPP and current payment methods	24/11/21. G051 has been updated and will be published shortly. NPP will be considered when there are changes to BECS/BPAY. NPPA and ATO will remain in regular contact. To be closed
04.02.2021-1	Sangitha Sivayogaraj	30.06.2021	The ATO to keep group updated on progress of conformance suite data credentials	24/11/21 – This work has been completed. To be closed
27.05.2021-2	Shane Moore	28.07.2021	Meeting to be held out of session to discuss drafting a guidance note in relation to the SuperannuationOtherAmounts tuple	24/11/21 - Guidance note has been distributed to group for feedback in meeting papers. Linked to AI 04.02.2021-2
27.05.2021-3	Shane Moore	28.07.2021	The ATO will work with Grant to consider how BIPs, Guidance Notes, MIGs and User Guides can be rationalised to remove complexity and provide an overview to the next SDSTG	24/11/21 – Work is in progress. Guidance Note table will be updated to reflect superseded guidance notes. Sent to Grant for feedback. Once Rv3 implementation has settled a plan will be developed to rationalize published guidance.
22.09.2021-1	Belinda Black	24.11.21	Belinda will advise most suitable communication channel proposal and discuss with the SDSTG members.	24/11/21 - The new FVS alert system will be used to advise funds, administrators, service providers and users of the FVS, of critical issues that may impact SuperStream contributions and/or rollovers processing. The first FVS Alert 001/2021 was issued on 28 September 2021 to advise there were incorrect end-dates that affected a large number of USIs. To be closed
22.09.21-2	Alex Barnes	24.11.21	Alex advised he would take feedback offline and discuss further and report back to the SDSTG members.	24/11/21 – ESA Registration Process – it has been agreed that the DPO will integrate the registration process into Online Services for DSPs. No timeframe has been determined for this to be implemented. The need for lead times (30-60 days) has been acknowledged from both

Shane Moore led a discussion providing an update on the issues register.

IR001 - EVTE issues:

A number of issues with EVTE have been identified under the following categories: availability, performance, limited data, and test cases and not being in sync with production systems.

- 24/11/21 – issue to be closed.

IR002 - Validation rule consistency:

There are different validation rules applied to the SuperStream transactions through which funds receive data and the validation rules applied to the same data element when reported to the ATO using the MATS transaction.

- 24/11/21 – Item to remain on hold

IR010 - Guidance note:

Contribution messages - SuperannuationContributionOtherAmounts tuple

- 24/11/21 – Issue to be closed, being tracked under AI 27.05.2021-2

Agenda item: 3 – GNGB update

Michelle Bower provided the following update:

- During October and November changes were implemented in response to the BIP review:
 - BIP3 algorithms are being uplifted
 - Working with Anu Duggirala's team - they have been very supportive
 - Changes coming in 2022 – supply change management as well as patching protocols
 - GNGB are also conducting continuity testing, to test their recovery plan and adjust accordingly.
- Also working with the ATO (Shane Moore and Joe Maxymenko) regarding contributions to SMSFs with a view to enhancing security:
 - First step is to outline current environment
 - GNGB are conducting some fact finding
 - Will then determine how to communicate with the broader industry.
 - Shane Moore advised the ATO is starting conversations with large clearing houses.

Agenda item: 4 – ASP update

Hans van Daatselaar provided the following update:

- David Delany joined ASP on Monday 29th November.
- Hans advised there needs to be a different approach to stapling and APRA reporting, merging funds and associated cost implications. These issues put pressure on funds as well as fraud and cyber threats.
- EST are aware of this and Hans questioned whether the group should do some forward planning on these pain points? For example:
 - What can the working group do about placing this work on the forward plan?

- Working out some cost analysis and quantifying transactions
- how do we know we are efficient / what should be the measures?
- Tracie Crowden responded that this is a good group to gather intelligence and to raise issues with a view to resolve in the future.
- Hans advised these issues are aimed at improving the member experience and provides a good example of how we can work together, and advocate for change.

Agenda item: 5 – RV3 update

James Barry provided the following update:

- There has been extensive feedback received regarding the RV3 transition from many stakeholders. The ATO identified a number of lessons learned as well as issues that we can address now.
- A co-design meeting was recently held and it was identified that the SMSF verification service were causing issues and found that:
 - Drivers of invalid responses are mainly due to data mismatches
 - 85% invalid response are due to the bank account not matching with what the ATO has on record.
 - There were concerns about paper release authorities (RAs)
 - 118 paper RAs were issued in October 2021.
- Jack Gao raised issues re bank accounts for SMSFs. James Barry confirmed that we have this issue on our radar and we will work with trustees and intermediaries further in order to resolve.
- 10,000 SMSFs have failed SVS rollovers, and although education has been provided to the SMSF industry, outcome rates are low. Hans van Daatselaar confirmed this is a pain point and will be a long-term issue, however there are some areas which require urgent resolution.
- Jack Gao raised the issue of duplicate PRNs. James Barry requested Jack to contact him out of session for further follow up.

Agenda item: 6 – Your Super Your Future update

Katie Constance provided the following update:

- The Comparison tool was launched on 1 July 2021 and has had over 850,000 views across both platforms. The usage is steady, with over 26,000 over the last 4-5 weeks.
- The Stapled service deployed successfully in early November with a high matching rate – currently sitting at 98%. We've had higher than expected employee requests for employees that commenced work prior to 1 November, which we consider is users simply testing the system. This however is not an authorised use of the system.
- The education process is ongoing for the Retail solution. The usage of this service should increase in the next few months. We're also developing demographic statistics about who is using the service.
- We're working closely with DSP on the Wholesale solution, which is a voluntary service. We're looking to provide a further update to this group early in the new year.
- Members had no questions. Tracie advised when the specs are confirmed for phase 2, we will bring to this group.

Agenda item: 7 – FVS: Update

Shane Moore provided the following update:

- We will be ready to implement and deploy when the opportunity presents. Opportunities were identified in four main areas.
- A major challenge with the current FVS is connected to Successor Fund Transfers (SFTs) where there is no connection to where a USI product has moved to.
- Shane will take feedback from the group out of session, as we hope to have features ready to prioritise when system builds are available.
- Michelle Bower advised SFT has issues with the FVS and would like the timing to be more aligned to enable efficient switch over of information. Shane advised communications are underway to help address this issue. Although the SFT user guide does mention this, we are looking at improving our communication strategies.
- Matt Rea volunteered to be contacted regarding any improvements, as they presently have several SFTs in process.

Action item: 24.11.2021-1	Due date: 31 January 2022	Responsibility: ATO – Shane Moore
SDSTG members to provide feedback post session regarding this issue to Shane Moore.		

- Hans van Daatselaar suggested that a ‘best practice’ paper regarding change may be beneficial. Is there a way to monitor usage timings, perhaps daily refresh could assist with this?
- Shane Moore advised there are challenges on how we communicate with users regarding the use of the service.
- Tracie confirmed we will send out the presentation pack and respond to issues.

Agenda item 8: SBR2 update

Sangitha Sivayogaraj provided the following update:

- Delivery update – Enhancements to SBR processing of MAAS and MATS:
 - Due to priority changes, this project has been postponed to next financial year
 - Enhancements are still intended to go ahead, and we will share scheduling details once available.
 - The intention is to avoid the MATS annual and USM reporting period.
 - We continue to welcome feedback from the group on this issue
- Christmas shutdown
 - Shane Moore advised maintenance period has been shortened to now start on 27 December and will be updated on the relevant dashboard.
 - The ATO is still finalising the approach and will communicate ASAP via a CRT alert and dashboard.

***Secretariat note: CRT Alert 013/2021 issued on 8 December 2021.*

Agenda item: 9 – Other business

- Hans van Daatselaar asked if the ATO are considering an updated version of SuperMatch.
- Shane Moore responded that the ATO is not considering any changes to SuperMatch that will result in a Version 10. We are working with funds as required where we identify any issues in their usage of the service under version 9.

Agenda item: 10 – Meeting close and remarks.

- Tracie Crowden acknowledged 2021 has been a very busy year for industry and the ATO and we really appreciate and value the collaboration amongst the SDSTG.
- Tracie confirmed this forum is best placed for the ATO and Industry to advocate for change and thanked all attendees for a very informative and valuable meeting and for members contributions this year.
- Meeting closed.

*The next SDSTG will be held on **Wednesday 23 February 2022.***