



# Superannuation Data Standard Technical group – Key messages

File ref: 02/2022

<b>Title:</b>	Superannuation Data Standard Technical Group (SDSTG)
<b>Issue date:</b>	17 March 2022
<b>Venue:</b>	WebEx meeting conference
<b>Event date:</b>	25 February 2022

<b>Chair:</b>	Tracie Crowden	Assistant Commissioner, Superannuation & Employer Obligations ATO
<b>Contact</b>	Darrel Cunnington	<b>Contact phone:</b> 07 3213 3265

<b>Industry Attendees:</b>	Brett Hillier, Suncorp David Delaney, ASP Fraser Cooper-Southam, SuperChoice Grant Doherty, QValent Jack Gao, IRESS	Matt Rea, Link Group Michael Vernik, Bravura Solutions Michelle Bower, GNGB Philip Boadi, Class Limited Tristan Herbert, CSC Yong Zhang, GBST
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<b>ATO Attendees</b>	Alastair Ramsay, Superannuation & Employer Obligations, ATO Alex Barnes, Enterprise Solutions and Technology, ATO Anupama Duggirala, Enterprise Solutions and Technology, ATO Ian Morgan, Superannuation & Employer Obligations, ATO Joe Maxymenko, Superannuation & Employer Obligations ATO Michael Cooper, Superannuation & Employer Obligations, ATO Paul Mosca, Enterprise Solutions and Technology, ATO Shane Moore, Superannuation & Employer Obligations, ATO Sharna Maltman, Enterprise Solutions and Technology, ATO Tracie Crowden, Superannuation & Employer Obligations, ATO Tracy Holloway, Superannuation & Employer Obligations, ATO
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## Agenda item 1 – Welcome and opening remarks

Tracie Crowden opened the meeting with the acknowledgment to country and welcomed attendees to the meeting.

## Agenda item 2 – Action items and issues register call over

Shane Moore provided an update to the action items and discussed new items:

1. Addition of action item 23.02.2022-1:
  - Register been around since 2013, needs refreshing and updating to align with current registers and processes. ATO will work with affected stakeholders and update the group as the item progresses.
2. Addition of action item 23.02.22-2:
  - SDSTG has requested that ATO follow up on Opportunities for response messaging where SMSF is not registered with an ESA provider.

Shane Moore provided an update to the issues register:

3. IR001: Item on hold, no further updates
4. IR002: Item on hold, no further updates

Shane provided a general update regarding SuperMatch:

5. There has been a 5<sup>th</sup> SuperMatch alert that was issued in February. This indicates that there is an increasing amount of possible mischief for those using the service.
6. The ATO has received advice from across industry including, SMRs, Austrac and additional intelligence from funds. As a result, member verification controls will need to be strengthened as the ATO is concerned about risk for member data.
7. ATO have put in some additional processes and will meet with industry for a special purpose SuperMatch working group.

## Agenda item 3 – GNGB update

Michelle Bower provided a GNGB update to the group:

8. There are many initiatives that the GBGB is focusing on around governance framework and the group is interesting in the ongoing review of the binding implementation practice document. It was agreed where there are issues impacting the gateway community, Shane Moore would be the ATO contact point.
9. Audit season has begun, and gateway operators forward their independent reports and look for compliance with the data and payment standards. The GNGB will work through the results and any items requiring remediation.
10. GNGB are preparing for 2 separate incident response tests. First one is to take place in May this year.
11. Group will be kept informed of the progress of planning, as well as the results and outcome of the test. It is encouraged that participants bring their own response plans so GNGB can identify where they overlap and consider any gaps in the super ecosystem.

### Agenda item 4 – ASP Services update

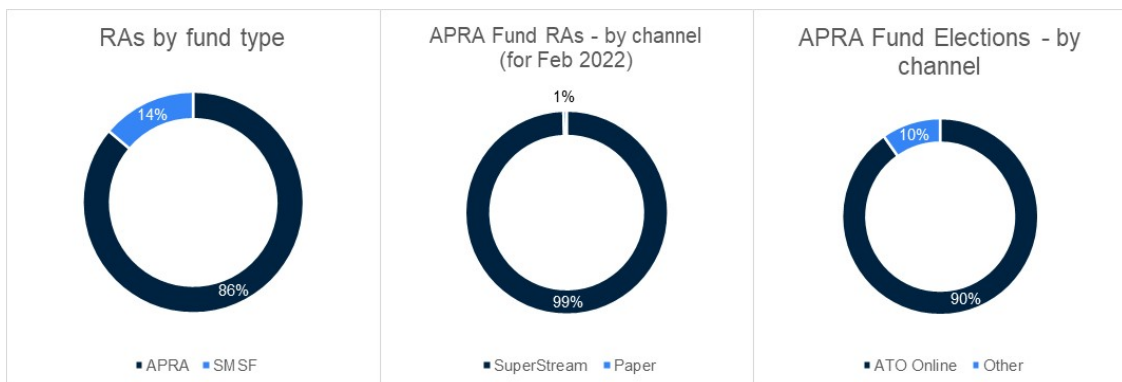
David Delaney provided an ASP services update to the group:

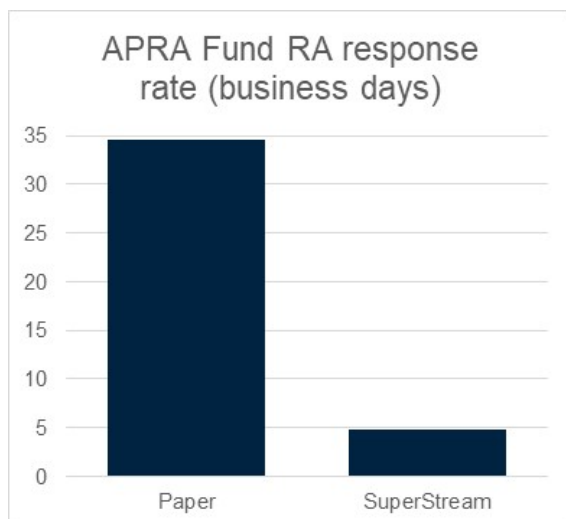
12. Strategy day next week outline 2022 work with ATO as co stewards of the superannuation ecosystem. There will be 3 items to be discussed:
  - RV3: look to obtain better member outcomes and operational efficiencies, as it is costing APRA funds more money than paper and cheque transactions,
  - Legislative change around industry: impacts for stapling, mergers and work tests,
  - Cyber and fraud: investigate associated reputational risks. Need a fit for purpose network, proactively help ATO and industry to forward plan to avoid foreseeable issues.

### Agenda item 5 – Rollover V3

Ian Morgan provided an update to the group around rollover version 3 status:

13. There are some residual issues around rollovers between businesses (APRA funds and SMSFs) to be addressed. These include:
  - PRN mismatch,
  - ESA not knowing the SMSF client,
  - Dollar mismatches between the message and the payment,
  - Splitting of a single rollover into multiple payments (transfer limits),
  - Know Your Client issues for APRA
  - Anti-money laundering requirements (POI – SMSFs)
14. Regarding the SVS, 68% of responses for February to date (COB 24/2/22) were verified. ATO is committed to investigating how to further improve this.
15. In terms of release authorities (for the period 1/7/21 – 16/2/22):





### Agenda item 6 – Your Future, Your Super

Tracie Crowden provided an update on Your Future, Your Super:

16. The ATO is still working with stakeholders to deploy phase 2 of the stapling service for 1 July 2022.
17. The ATO has released a user guide to Digital Service Providers to enable take up of phase 2 based on feedback from YFYS working group.
18. Noting it is a voluntary service. ATO are not sure of uptake volumes at present, but the specifications are in place. Core design team will be reconvened March / April to gauge uptake levels.

### Agenda item 7 – SBR2 update

Anupama Duggirala provided an update for SBR2:

19. On the 10 February there was a full SBR2 platform outage for 3.5 hours during disaster recovery testing. The system came back online at around 3.30 / 4pm that day. It was supposed to be a non-impact change, but the outage was encountered due to an issue uncovered with system configuration.
20. The ATO acknowledges any inconvenience this caused clients. Any downflow impacts will be referred to the ATO, to ensure these issues do not occur in future.
21. A vulnerability was discovered in Apache Log4J around mid-December last year. As a precaution, the ATO has proactively worked on mitigation options and implemented various measures at platform level, if there is possibility to trigger the attack.
22. At a broader ATO level, the security operations teams have been monitoring activities proactively and working internally to take necessary actions as required. All ATO platforms are protected and no associated issues to report.

### Agenda item 8 – Business on notice

Shane Moore led a discussion around MATS error - CMN.ATO.GEN.200001:

23. Funds have seen an increase in errors and would like to discuss current guidance and identification.

24. Members finding after outage, generally every Monday morning, there seems to be an increase in stuck batches or timeout errors.
25. An SBR error times out with ATO systems. Meaning sometimes half a message is being processed and responses are coming back. Funds then told to resend by the ATO, with errors being advised that they weren't processed but they were.
26. This results in lots of work arounds for funds, who are now tired of going back to the ATO, clarifying which have been processed and which have not. This issue is increasing in regularity.
27. The ATO advised an authorisation issue is occurring, and work is progressing with an independent team as to where the issues are occurring. A resultant meeting was held on 22<sup>nd</sup> February, and the ATO is waiting for the analysis results to come back.
28. It was agreed by the group that this issue would be prioritised within the ATO.

Action item:	Due date:	Responsibility:
23.02.2022-3	Next meeting	ATO – Shane Moore
Update to guidance around MATS error - CMN.ATO.GEN.200001 and ensure Funds and Gateways receive the guidance.		

Action item:	Due date:	Responsibility:
23.02.2022-4	Next meeting	ATO – Alex Barnes
Circulate Gateway Operators meeting with SDSTG group		

Michelle Bower led a discussion around ESA Alias and Gateway Alias lists:

29. Presently the gateway alias is maintained by GNGB. AS the ATO is building API accessible alias lists, GBGB has recommended that validation and reference points to be in 1 place for ease of access.

Action item:	Due date:	Responsibility:
23.02.2022-5	Next meeting	ATO – Joe Maxymenko
Combine ESA Alias and Gateway Alias lists		

### Agenda item 9 – Other business

Tracie Crowden discussed the following items:

30. SDSTG have been encouraged to take part in the March APRA fund client experience survey as it is an opportunity for the ATO to receive feedback and improve services.
31. The first ATO podcast for 2022 is available and discusses what is new in super.
32. Law changes relevant to Super which received royal assent on the 22nd February:
  - Changes to calculation rules for exempt current pension income

- Increase of First Home Super Saver voluntary contribution threshold from 30k to 50k
- Reducing eligibility age for downsizer from 65 to 60
- Repealing the work test for superannuation contributions
- Removing the \$450 threshold for payment of Super Guarantee.

33. The ATO is now implementing these measures with broad communications and web updates for each forthcoming. For more information, please refer to: [Treasury Laws Amendment \(Enhancing Superannuation Outcomes for Australians and Helping Australian Businesses Invest\) Bill 2021](#)

### Agenda item 10 – Closing remarks

Tracie thanked all that attended today's meeting.

**Next meeting: Wednesday 25 May 2022**