

Superannuation Data Standard Technical Group

August 2022 key messages

File ref: 08/2022

Title:	Superannuation Data Standard Technical Group (SDSTG)	
Issue date:	15 September 2022	
Venue:	WebEx	
Event date:	23 August 2022	Start: 10.00am Finish: 11.00 am AEST

Chair:	Shane Moore (acting)	Director, Superannuation and Employer Obligations
Contact:	Darrel Cunnington	Contact phone: 07 3213 3265

Industry Attendees:	Matt Rea, Link Group Michael Vernik, Bravura Solutions Philip Boadi, Class Stephen Milburn, Sun Super Grant Doherty, Qvalent Jessica Hahn, SPSL Jack Gao, IRESS	Michelle Bower, GNGB David Delaney, ASP Tristan Herbert, CSC Yong Zhang, GBST Fraser Cooper-Southam, Super Choice
ATO Attendees:	Shane Moore, Superannuation & Employer Obligations, ATO Tracie Crowden, Superannuation & Employer Obligations, ATO Ian Morgan, Superannuation & Employer Obligations, ATO Michael Cooper, Superannuation & Employer Obligations, ATO	

Joe Maxymenko, Superannuation & Employer Obligations, ATO
Tracy Holloway, Superannuation & Employer Obligations, ATO
Alex Barnes, Enterprise Solutions and Technology, ATO
Sharna Maltman, Enterprise Solutions and Technology, ATO
Paul Mosca, Enterprise Solutions and Technology, ATO
Sangitha Sivayogaraj, Enterprise Solutions and Technology, ATO
Anu Duggirala, Enterprise Solutions and Technology, ATO

Next meeting: Wednesday 23 November 2022

Agenda item: 1 – Welcome and opening remarks

Shane Moore opened the meeting with the acknowledgment to country and welcomed attendees to the meeting. Thanked Melanie Casey for her contributions to the SDSTG and advised Larissa will be returning as chair for the next meeting.

Agenda item: 2 – SDSTG Action Items and Issues Register call over

Shane Moore discussed action items:

22.01.2020-3 – Working group to discuss this issue around occupation codes being mandatory in a contribution message.

- **To be closed** and tracked on opportunities register.

30.09.2020-4 – Digital options for the SMSF Alias table

- **To be closed** - Alias table now available as an API. Further information on how to access the API can be provided by emailing ESDSGovernance@ato.gov.au

27.05.2021-3 – Rationalising BIPs, Guidance Notes, MIGs and User Guides

- Several BIP notes identified to be included in Schedule 5 and SuperStream Guidance notes. ATO rationalising Guidance Notes to remove superseded GNs. Summary will be provided to SDSTG members before the next meeting.

22.09.2021-2 – ESA Onboarding

- ATO to confirm if there is any process or guidance published online.

22.09.2021-4 – SOAP Fault Error

- Item resolved with impacted gateway. **To be closed.**

23.02.2022-1 – Update to SuperStream certified product register to remove gold, silver and bronze classifications that are no longer relevant.

- Updated register to published by the end of the month.

23.02.22-2 – response messaging where SMSF is not registered with an ESA provider.

- Working group to be established out of session.

23.02.22-3 – Guidance around MATS error - CMN.ATO.GEN.200001

- Still in progress.

23.02.22-5 – Combine ESA Alias and Gateway Alias lists

- Both lists will be published together on the DPO website by September.

26.05.2022-1 – logical limit for bank account name

- Discussed at GOM and resolved. **To be closed.**

26.05.2022-2 – SMSF issues connected to NPP.

- Working group met in June with preliminary discussions indicating some opportunities for NPP in this space. The ATO held a follow up meeting with NPPA recently to discuss the new PayTo functionality and will discuss further at the next SMSF working group.

26.05.2022-3 – SFT Update

- SFT protocol being updated following industry and ATO review/feedback. This is currently going through technical clearance and will be shared with the group for comment after receiving clearance.

26.05.2022-4 – Finalise Response Messaging Guide

- to be tracked under AI 27.05.2021-3.

Shane Moore went over the issues register:

- All issues remain on hold. No further updates.

Agenda item: 3 – GNGB update

Michelle Bower provided the following update:

- Since the last SDSTG, GNGB has conducted the planned Super ecosystem wide incident response test with interested organisations within the ecosystem. The exercise was run face to face in Sydney in late June. A summary report will be available at the next meeting however the day was a success with high level findings identifying the benefits of collaboration when dealing with an incident, the learnings participants shared with each other and the interest in conducting future exercises.
- GNGB are continuing with a review of the Binding Implementation Practices (BIPs) that form part of the Gateway Services Standards, and as previously mentioned in the actions, are working with the ATO to incorporate some of these into the broader SuperStream artefact framework, of which 11 may be suitable candidates. The review is almost at an end and has been underway for approximately 15 months.
- GNGB has begun the annual review of the Information Security Requirements for the STN and will be consulting on proposed changes with Gateway Operators, in October.

Agenda item: 4 – ASP update

David Delaney provided the following update:

- ASP have identified several administrative irritants and collated these into a couple of papers around DASP and the administration of Severe Financial Hardship, along with some recommendations for the next available opportunity.
 - These have been shared with the ATO in draft and will be finalised soon.
- Current focus includes Employer SG non-Compliance from an end-to-end point of view with a focus on potential points of failure in the process that may contribute to, or create a perception of, employer SG non-compliance.

- With a view to identifying potential enhancements to upstream and downstream processes and /or messages that may provide better member outcomes for all stakeholders for consideration at next available opportunity.
- Successor Fund Transfers are a focus as well at this stage, and feedback is being provided to the ATO regarding the SFT Protocol document.
 - One of the focuses is less about the process of undergoing SFT, but with the lack of data standards- Are there principles we can identify that can be used prior to the activity of undergoing the SFT including:
 - Data Sharing arrangements
 - Member experiences
 - Minimum data elements
 - Channels available for SFT and potential impacts.

Agenda item: 5 – RV3 System outages, SuperMatch and online accounts

Shane Moore provided the following update on system outages:

- The Super Incident Response Plan had been updated since the unplanned outage in May and now considers how we will manage these types of outages on weekends and out of business hours. The ATO will now maintain an after-hours contact list for Incident response members and will SMS as required.
- The Super Services Dashboard will remain the key communication tool used for notifying of planned maintenance and updates throughout unplanned outages.

SuperMatch:

- ATO will continue to engage stakeholders and industry regarding monitoring requirements for use of SuperMatch connected to new accounts. This will be shared with industry in September.

Online accounts:

- There will be a working group organised in September for members to contribute to a deep dive into the data around online accounts.

Agenda item: 6 – SBR2 platform enhancements and SBR delivery retrospective

Sangitha Sivayogaraj discussed the SBR2 platform enhancements and noted:

- MAAS and MATS will be next to move onto the cloud. Feedback can be provided around the production and cutover date strategies.
- Migration will be seamless to service consumers but will result in some change impact from improved error handling. All funds and DSPs are encouraged to assess the change which may impact hard coded error codes and messages in DSP or fund systems.
- Impact of changes will be monitored through EVTE testing. Sample response messages will be available for comparison in conformance suites.
- Next session the ATO will discuss with funds the preference on a gradual cutover or a hard cutover.

SBR delivery retrospective

- A DSP retrospective was undertaken with income tax and account software developers on annual changes made for TT22 and general review of delivery. It was noted there have been significant improvement to communications and relationships, there were no significant issues raised, however there were opportunities for

improving quality of output and consistency between business and technical specifications.

- The details will be shared in future for an opportunity for the super industry to add to the feedback.

7 – Other business

David Delaney raised:

- The VMS update takes anywhere between 30 and 700 minutes to process and there is an impact on holding times.

Agenda item: 8 – Meeting close and remarks.

- Next SDSTG will be held on Tuesday 23 November 2022.
- Meeting closed 11.10am.