

GUIDE

EXTERNAL

JULY 2014

UNCLASSIFIED

FORMAT

AUDIENCE

DATE

CLASSIFICATION



Australian Government

Australian Taxation Office

FILE REF: [FILE NO.]

TERMS AND CONDITIONS

For the SuperStream Fund Validation Service



UNCLASSIFIED



For further information or questions,
email

SuperStreamStandards@ato.gov.au

VERSION CONTROL

Version	Revision date	Summary of changes
1.0	18/06/2013	N/A
1.1	24/02/2014	Updated to include employer access for contributions
1.2	08/07/2014	Update for contributions induction

WHAT IS THE SUPERSTREAM FUND VALIDATION SERVICE?

From 1 July 2013, the SuperStream Fund Validation Service (FVS) enables APRA-regulated funds and Retirement Savings Account (RSA) providers¹ to check product and bank account details for outbound rollovers, thereby assisting fast and reliable processing of electronic rollovers and payments in accordance with the new SuperStream Data and Payment Standard 2012 (SuperStream).

From 1 July 2014, the FVS enables employers to check product and bank account details for SuperStream contributions being sent to destination funds, thereby assisting fast and reliable processing of electronic contributions and payments.

From 1 January 2015, the FVS provides the destination address data that enables the ATO to send rollover initiation messages to APRA funds on behalf of members using the Electronic Portability Form (EPF).

From 1 January 2016, the FVS provides the destination address and bank account data that enables the ATO to make superannuation payments to APRA funds. These payments include ATO-sourced contributions (including superannuation guarantee and co-contribution entitlements), Unclaimed Superannuation Money (USM) rollovers and any amendments or recoveries associated with these payments.

For further information on the FVS and SuperStream please see the **FVS User Guide** at www.ato.gov.au/superstream

WHAT THE FVS IS DESIGNED FOR?

The FVS is designed to allow superannuation providers to:

- provide required message and payment delivery data to the ATO, and
- obtain message and payment delivery data about other superannuation providers required for the electronic processing of rollovers and payments.

The FVS is designed to allow employers to obtain equivalent message and payment delivery data about superannuation providers in order to facilitate electronic contribution messages and payments.

The register may only be used for the purposes of making an employer contribution in accordance with SuperStream, or where an employer has express permission from a trustee to use the message and/or payment delivery data as part of an alternate arrangement.

¹ The ATO will aim to include Exempt Public Sector Superannuation Schemes (EPSSS) and Annuity providers in populating the register.

The FVS is designed for internal use only and must not be incorporated into external services a superannuation provider, EPSSS, RSA provider, employer or their agents may provide.

Information provided to an authorised recipient may be reused to support the payment of contributions and rollovers by employers and superannuation providers.

For more information on the data superannuation providers are required to provide to the ATO, and the relevant data specifications, please see Regulation 3B.03 of the *Superannuation Industry (Supervision) Regulations 1994*, Regulation 3A.03 of the *Retirement Savings Accounts Regulations 1997* and the **FVS User Guide** available at www.ato.gov.au/superstream

WHO CAN ACCESS THE FVS?

To access the FVS for a superannuation provider or employer, you must hold an AUSKey:

- on behalf of that superannuation provider or employer, or
- on behalf of an entity with a current appointment or authorisation on behalf of that superannuation provider or employer,

Your AUSKey must have permission to access the FVS.

To access the fortnightly electronic file, you will be provided with access to a data transfer facility after receipt of your mandatory user data. For more information on the data superannuation providers and employers are required to provide to the ATO to access the electronic file, please see the **FVS User Guide** at www.ato.gov.au/superstream

For more information on AUSKeys, or to apply for an AUSKey, see the **AUSKey** website at <https://www.auskey.abr.gov.au>

For information on assigning permissions to AUSKeys, please see the **Access Manager** webpage at <http://www.ato.gov.au/General/Online-services/In-detail/Online/Access-Manager/>

USING THE FVS

Computer system, software and data compatibility and risks

It is your responsibility to ensure you have taken appropriate and adequate precautions to ensure that the information obtained through the FVS is free of viruses or other contamination that may interfere with or damage your computer system, software or data.

The ATO accepts no liability for any interference with or damage to a user's computer system, software or data occurring in connection with or relating to the FVS.

It is your responsibility to ensure that information received through the FVS to support SuperStream contributions and/or rollovers is managed appropriately to reduce the risk of fraud or error.

Accuracy of information

The FVS depends on information received from third parties (superannuation providers). As such, the ATO cannot make any guarantees as to the accuracy of the information. However, users should have a high degree of confidence in the accuracy of the FVS is correct because superannuation providers have a legal obligation to provide the Commissioner up to date and correct information.

Non availability, interruptions and faults using the service

Whilst we will make reasonable efforts to ensure that the FVS is made available, we make no guarantees that it will be continuously available or that access to it will be uninterrupted and/or fault free.

Breach of these terms and conditions

The FVS must only be used by or on behalf of superannuation providers or employers and only for the purposes for which it is designed. Any breaches of the terms and conditions must be reported as a soon as possible to the Commissioner.

If you hold an AUSkey and access or use the FVS other than in accordance with these terms and conditions, the ATO (in addition to any other rights it may have):

- may revoke your access to the data transfer facility or may terminate the ability of that AUSkey to access the FVS, and
- may report the matter to the entity or entities for whom that AUSkey is held or purportedly used, and to the authority who issued that AUSkey.

Obligations under the *Privacy Act 1988*

The ATO is a government agency bound by the *Privacy Act 1988* in terms of collection and handling of personal information and tax file numbers (TFNs). At or before the time you use the FVS or, if that is not practicable, as soon as practicable after you use the service, you must ensure that any individuals whose personal information has been collected via the service are made aware of any matters referred to in subclause 5.2 of the Australian Privacy Principles as are reasonable in the circumstances. For further information about privacy law requirements please go to www.ato.gov.au/privacy.