



**Australian Government**

**Australian Taxation Office**

# **Services for Tax Practitioners Event 2018**

Digital Partnership Office

# AGENDA | DAY ONE

Welcome and introduction

Martin Mane

Digital Services for Tax Practitioners

Andrew Watson

SBR2GOLD

Michael Ferris

AWS transition

Interface changes XBRL – XML for existing tax returns

Potential changes to the IITR

DWS work plan

Digital Identity Program

Claire Miller

Online Services for DSPs

Martin Mane

Modernising the business register

Natalie Ross

Tax Time 2019 changes

Belinda Black

Welcome

# Digital services for tax practitioners

Current state, future direction and priorities

**Presented by:**

Andrew Watson

Assistant Commissioner, Tax Profession Digital Services



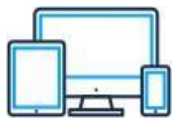
## **Practitioner Lodgment Service**

- ✓ All major ELS forms transitioned
- ✓ Upfront validation checks resulting in less exceptions and faster processing



## **Value-add software services**

- ✓ 28 APIs released to date
- ✓ Gradual uptake of services by DSPs



## **Online services for agents**

- ✓ Tax and BAS agent portal functionality now available to around 600 agents in private beta
- ✓ On track for a public beta later this year
- ✓ No new functionality being delivered into existing portals



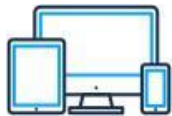
## **Practitioner Lodgment Service**

- ✓ No direct connection to ELS from 15 December 2018
- ✓ Only prior year forms permitted



## **Value-add software services**

- ✓ 20 remaining APIs released by year end
- ✓ DSPs consume services to enable agents choice to transact solely in practice management software



## **Online services for agents**

- ✓ Public beta later this year with tax and BAS agent portals to remain open for some time to enable gradual transition

## **Continue to support the final transition to the Practitioner Lodgment Service**

- ✓ Communication of remaining minor forms and services closure dates
- ✓ Learnings from TT18 why some returns offlined

## **Focus on delivering value-add software services**

- ✓ services that are co-designed and of value to DSPs , agents and ATO
- ✓ Look for opportunities through software before delivering new services in the ATO's retail environment

## **Online services for agents**

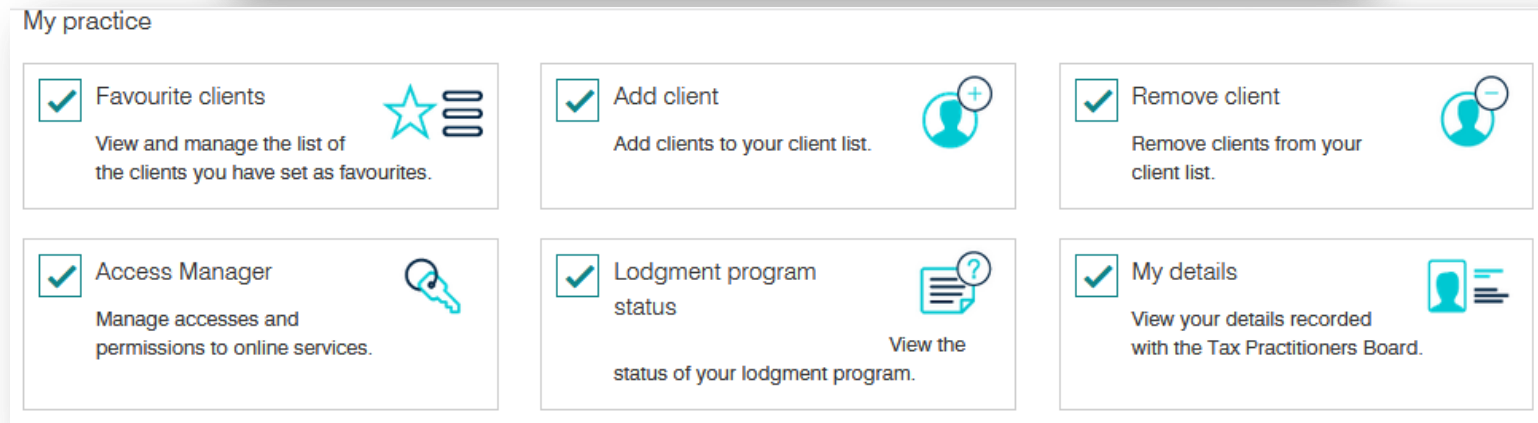
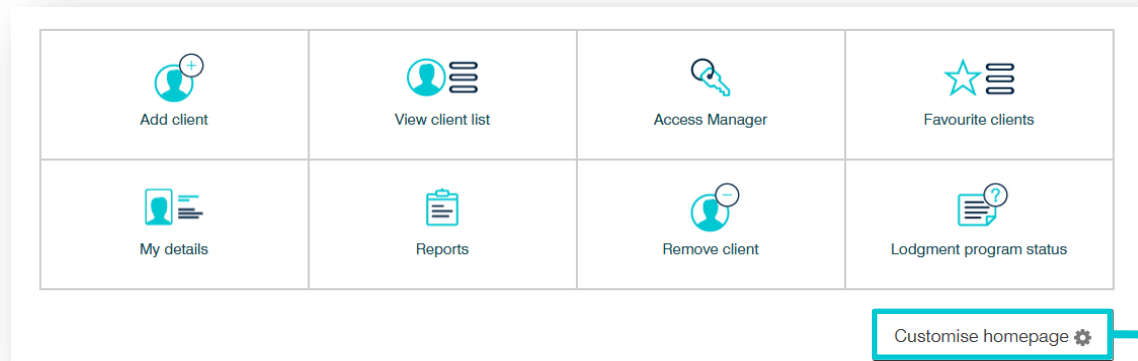
- ✓ support the agent transition with a view to decommissioning existing portals
- ✓ maintain Online services for agents as a core digital services to support tax professionals with limited digital resources and capability

## **Reasonable use of ATO digital services**

- ✓ Protecting a level of service for all agents, DSPs and the ATO

# DIGITAL SERVICES FOR TAX PRACTITIONERS | Preview of Online Services for agents

The ability for agents to **customise** the interface to meet their needs including selecting favourite clients and quick links on their practice home page





# DIGITAL SERVICES FOR TAX PRACTITIONERS | Preview of Online Services for agents

Availability of more **real-time information** including income tax history, lodgment program performance and additional tax accounts

### Income tax

[Not lodged](#) | **History**

2015-16 Individual

Assessment	Status	Outcome ?	
Original	Issued	\$52,656.45 debt	<a href="#">View details</a>

**Received date** 31 May 2017  
**Assessment issue date** 07 June 2017

[View copy of notice of assessment](#) ↗

Income			
10	Gross Interest	L	66
I	Transferred Total Supplement Income or Loss		224433
Total Income or Loss			224499

Deductions			
D10	Cost of managing your tax affairs	M	2960
Total Deductions			2960
Subtotal - Total Income or Loss less Total Deductions			221539

Losses			
L1	Primary production losses carried forward from earlier income years	Q	0
Taxable income or loss			\$ 221539

**Historical Notice of assessments (NoAs) will be made available if the agent did not lodge the return**

**Details of the tax return as processed are available**

# DIGITAL SERVICES FOR TAX PRACTITIONERS | Preview of Online Services for agents

Functionality that will enable agents to **self-serve**, such as the ability to view and set up payment plans, check progress of returns and submit return not necessary (non-lodgment advice)

### Non-lodgment advice

All fields marked with \* are mandatory.

**!** There are specific lodgment requirements for liable and/or recipient parents under a child support assessment. For the 2000 to 2007 years all liable parents are required to lodge an income tax return. For all years from 2008 onwards both liable and recipient parents under a child support assessment are required to lodge an income tax return unless the total of all relevant income was less than the applicable threshold for each income year and they were in receipt of specific Australian government pensions, allowances or payments for the whole of the income year. To determine the relevant income types and thresholds refer to [Do I need to lodge a tax return?](#)

Select the relevant financial year/s or tick the all box to submit non-lodgment advice/s: \*

<input type="checkbox"/> All	1 of 2 selected
<input type="checkbox"/> 2016 - 17	
<input checked="" type="checkbox"/> 2017 - 18	

Client has no obligation to lodge future income tax returns.

#### Declaration

- > I have prepared this document in accordance with the information supplied by the entity.
- > I have received a declaration from the entity stating that the information provided to me is true and correct.
- > I am authorised by the entity to give this document to the Commissioner.

**Privacy:** For important information about your privacy and collecting your TFN see our [privacy notice](#).

Tick this box to sign this declaration with the identification details that you used to log in. \*

### Make a payment plan

**Privacy:** For important information about your privacy see our [privacy notice](#).

All fields marked with \* are mandatory

Select account \* **?**

Activity statement 001 - \$6,586.00 DR

Select amount \*

Full account balance - \$6,586.00 DR

Overdue amount - \$6,586.00 DR

Select payment method \* **?**

Direct debit

Other payment options

Select payment frequency \*

- Select an option -

**Return not necessary (Non-lodgment advice) can now be submitted and processed in real time**

**Payment plans can be created for clients**

Morning break

# DWS improvements

SBR to Gold and AWS transition

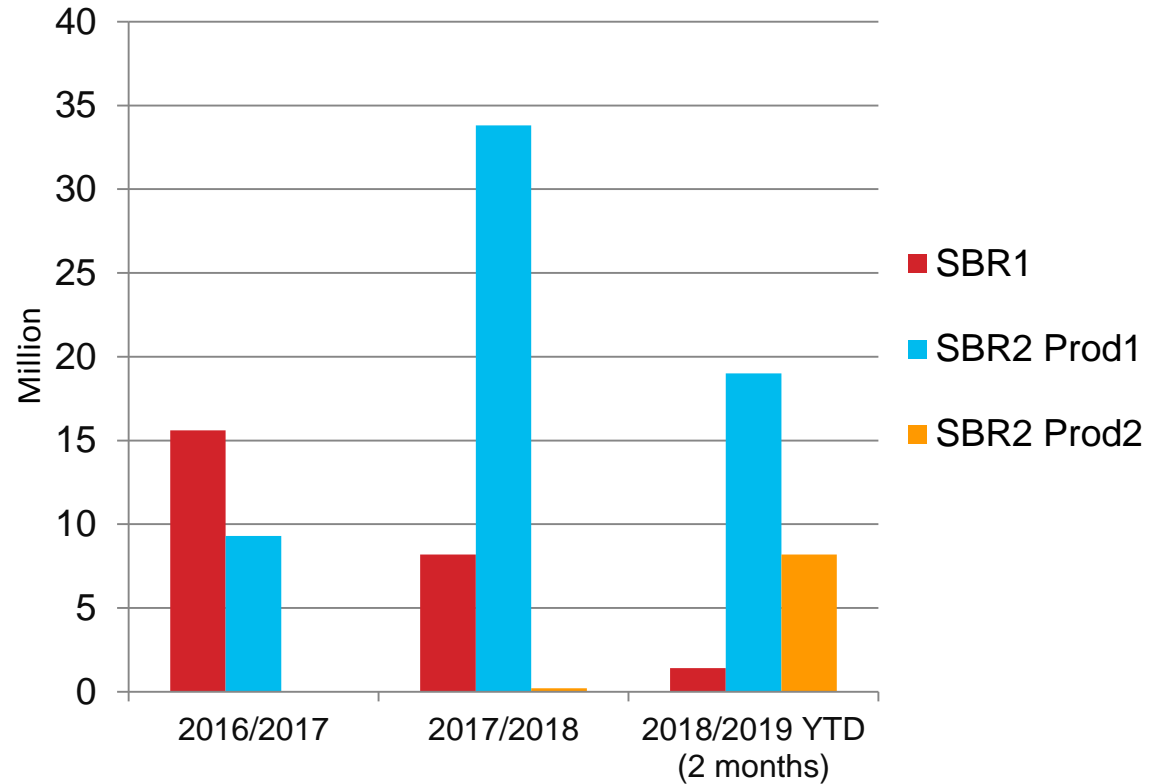
**Presented by:**

Michael Ferris

Director, Digital Wholesale Services

# DWS IMPROVEMENTS| Annual growth SBR1 & SBR2

SBR2 has exceeded expectations with **34M** usage in FY2017/18, and already half that two months into FY2018/19



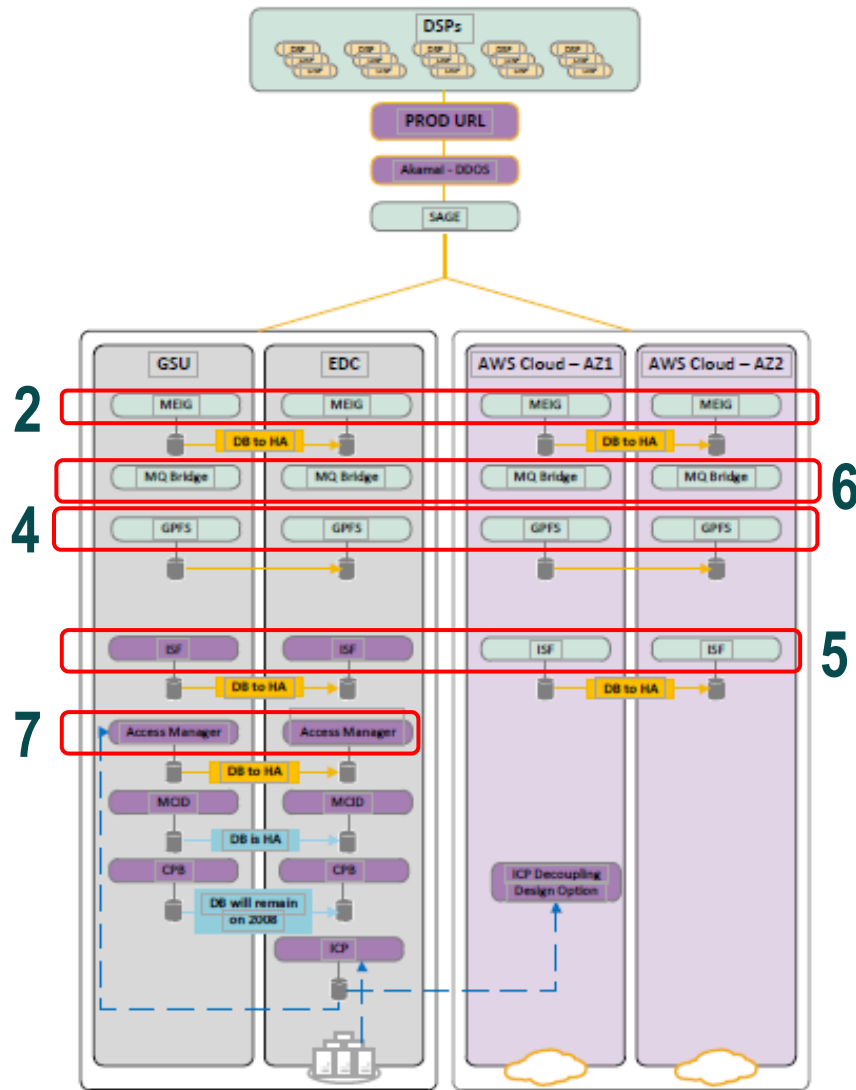
# DWS IMPROVEMENTS| SBR2 to Gold

Resilient infrastructure & operational improvements - Phase1



- ✓ **Antivirus Scanning Solution** – improved virus scanning efficiency of payloads in preparation of the imminent volumes of STP, MAAS/MATS.
- ✓ **Upgrade MEIG** – upgrading the MEIG COTS product to the latest version.
- ✓ **Core SI Traffic Regulation** – introduction of a new queuing mechanism to smooth the transaction processing when the system receives a spike in bulk/batch transactions.
- ✓ **General Parallel File System (GPFS)** – a File Share solution to remove the Single Point of Failure associated with file system.
- ✓ **Bulk Traffic Regulation** – introduction of a new queuing mechanism to smooth the transaction processing when the system receives a spike in bulk/batch transactions.
- ✓ **Multi Instance Queue Manager** – upgrade MQ to align with GPFS upgrade to provide greater resilience in the event of component failure.
- ✓ **Access Manager** – database upgrade to sustain high availability.
- ✓ **Database and Software currency upgrades.**

# DWS IMPROVEMENTS| SBR2 to Gold - improvements to date




## IMPLEMENTED IMPROVEMENTS

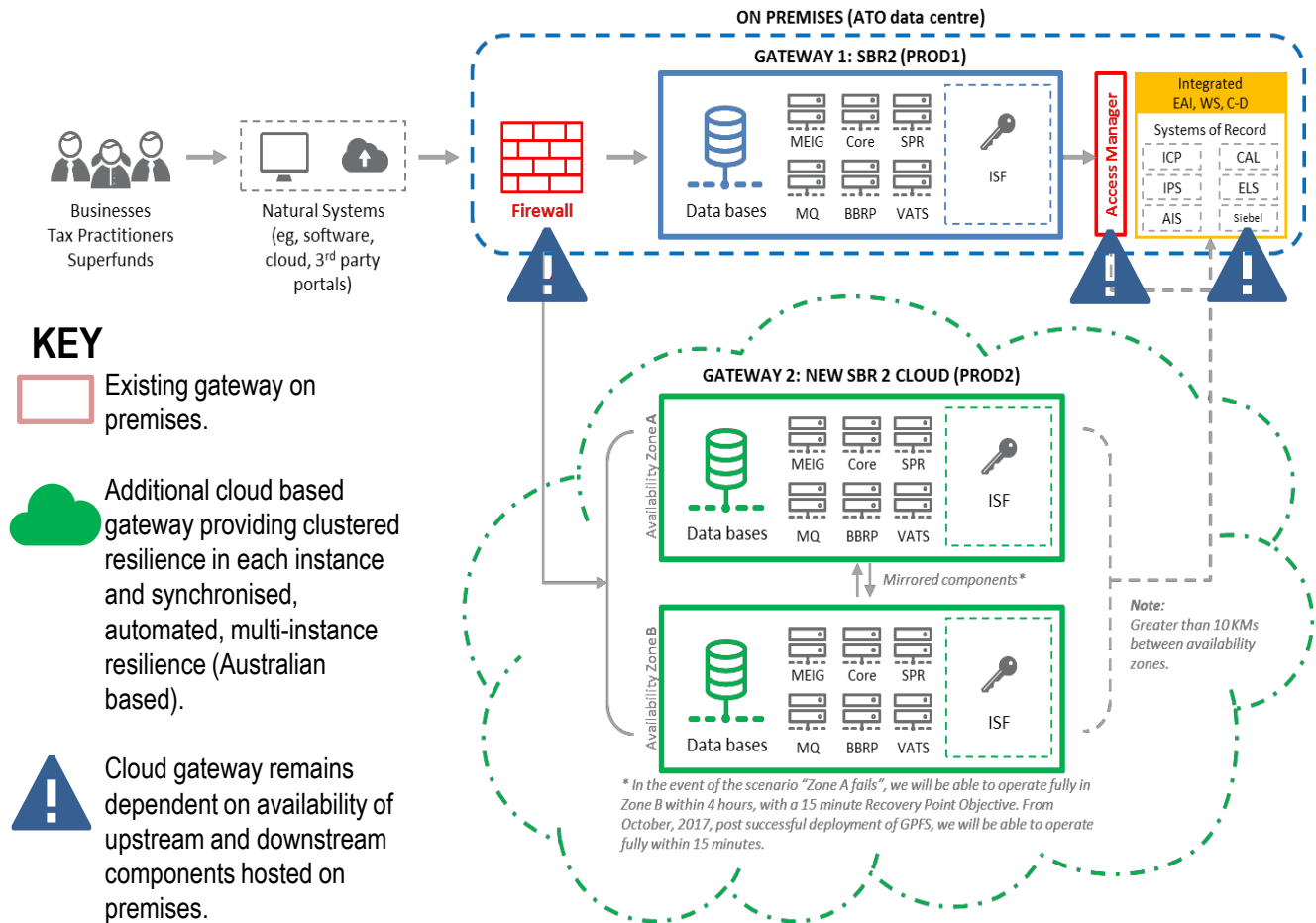
1. Antivirus Scanning Solution
2. Upgrade MEIG database
3. Core SI Traffic Regulation
4. General Parallel File System (GPFS)
5. Bulk Traffic Regulation
6. Multi Instance Queue Manager
7. Upgrade Access Manager database
8. Database and Software currency updates

# DWS IMPROVEMENTS| SBR2 to Gold – Improving cloud solution

SBR2 is dependent on upstream and downstream components.

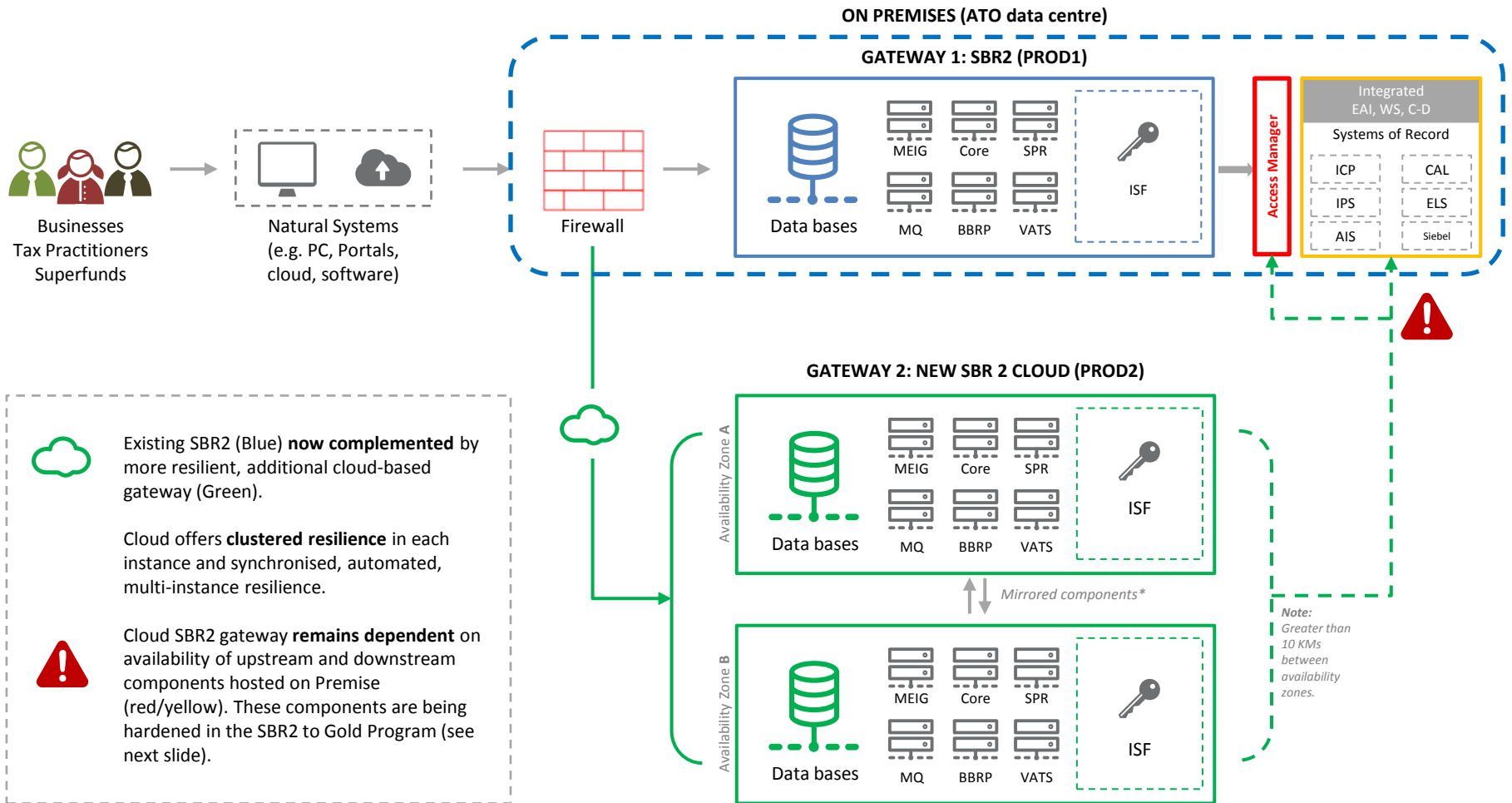
 areas where work is underway to improve replication, fail over, redundancy, resilience, latency and responsiveness.

Key components have been delivered in readiness for 1 July 2018.





# DWS IMPROVEMENTS | AWS Transition



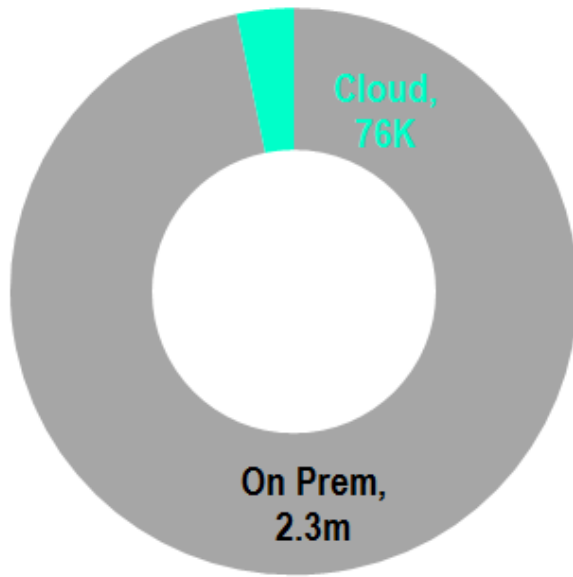
Existing SBR2 (Blue) **now complemented** by more resilient, additional cloud-based gateway (Green).

Cloud offers **clustered resilience** in each instance and synchronised, automated, multi-instance resilience.

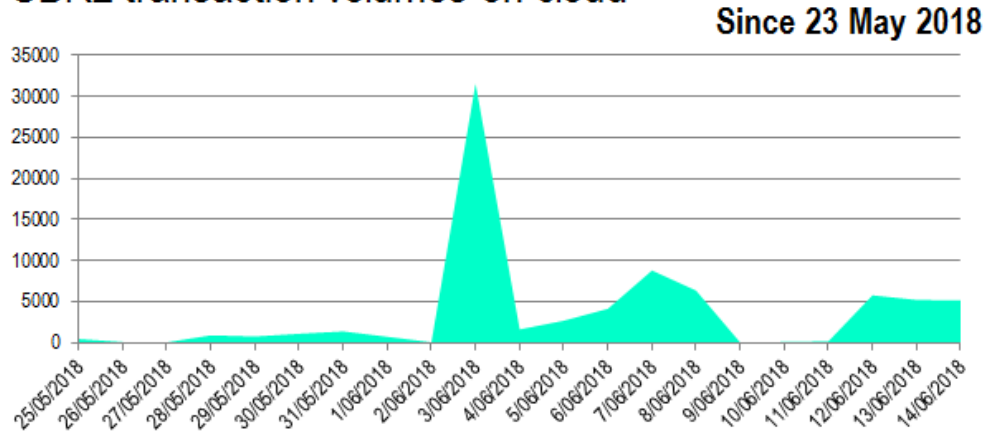
Cloud SBR2 gateway **remains dependent** on availability of upstream and downstream components hosted on Premise (red/yellow). These components are being hardened in the SBR2 to Gold Program (see next slide).

\* In the event of the scenario "Zone A fails", we will be able to completely Return to Operation in Zone B within 4 hours, with a 15 minute Recovery Point Objective.

# DWS IMPROVEMENTS| SBR2 to Gold – Migration to cloud to date

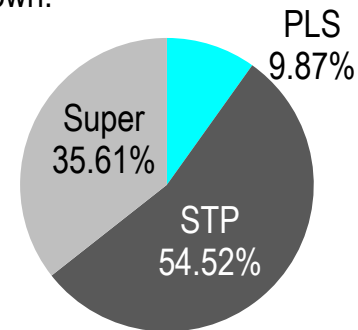


## SBR2 transaction volumes on cloud



breakdown:

**STP and Super transaction make up over 90% of traffic currently in the cloud.**



Early days in migrating SBR2 traffic to cloud since 23 May 2018  
**3%** of transactions moved to cloud.

# Digital Wholesale Services (DWS)

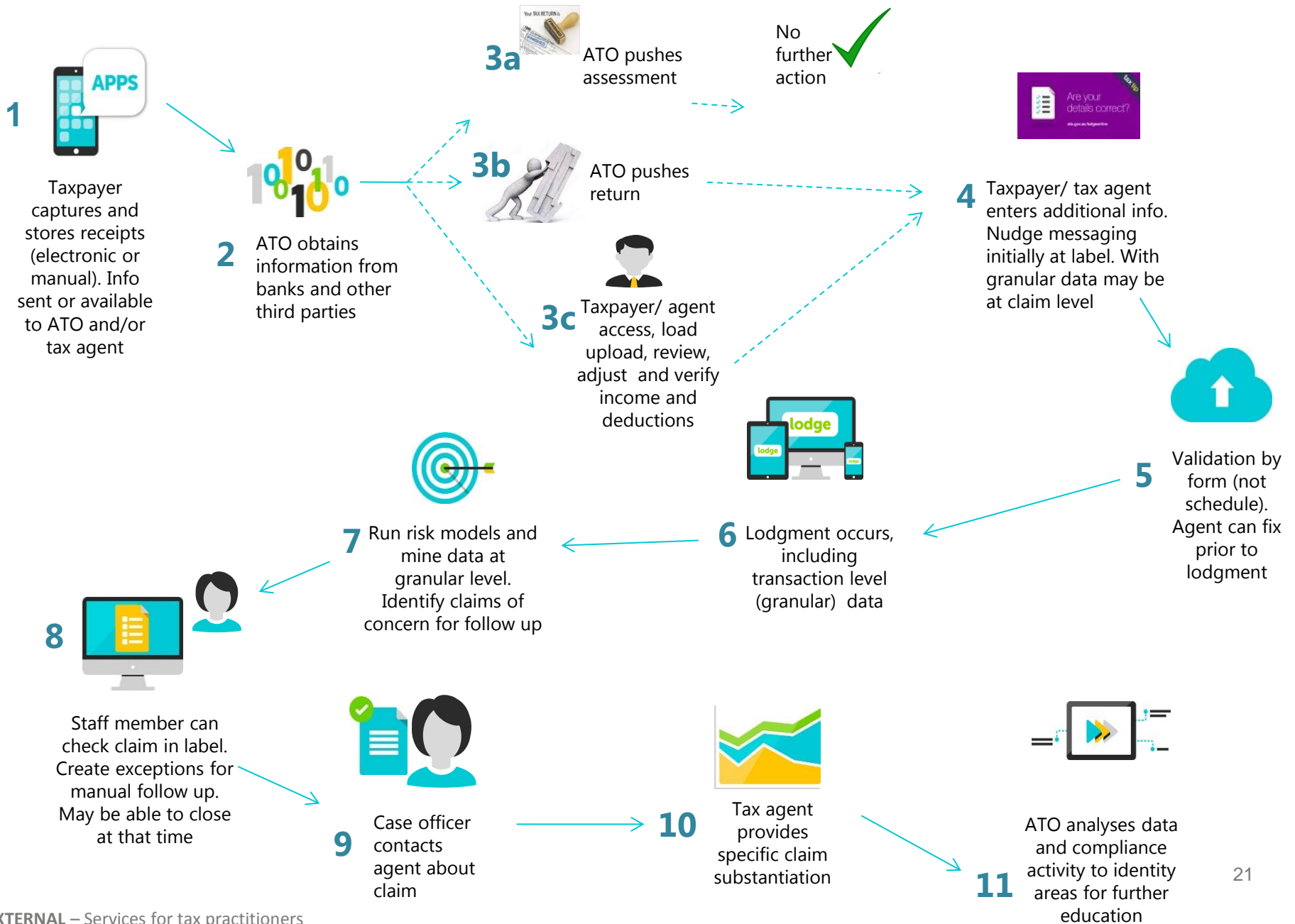
PLS Tax time 2019 delivery plan

## PLS TAX TIME DELIVERY PLAN | Granular data

Provision of IITR associated forms functionality into PLS for tax agents.

- ✓ Will collect more data than the existing PLS service and therefore updated BIG and MST will be provided.
- ✓ Service to be offered in XML format, aligning to recent STP message format changes.
- ✓ Format consistent with MyTax implementation, allowing for crossover of these lodgements.
- ✓ Will align the structure and format of prefill and lodge messages
- ✓ Totals will be calculated rather than requested, which will reduce DSP input and complexity.
- ✓ Separate schedules will be removed, reducing cross form complexity.
- ✓ This will allow targeted requests for more detail, rather than broadband approach at the moment.

# PLS TAX TIME DELIVERY PLAN | Reducing the tax gap

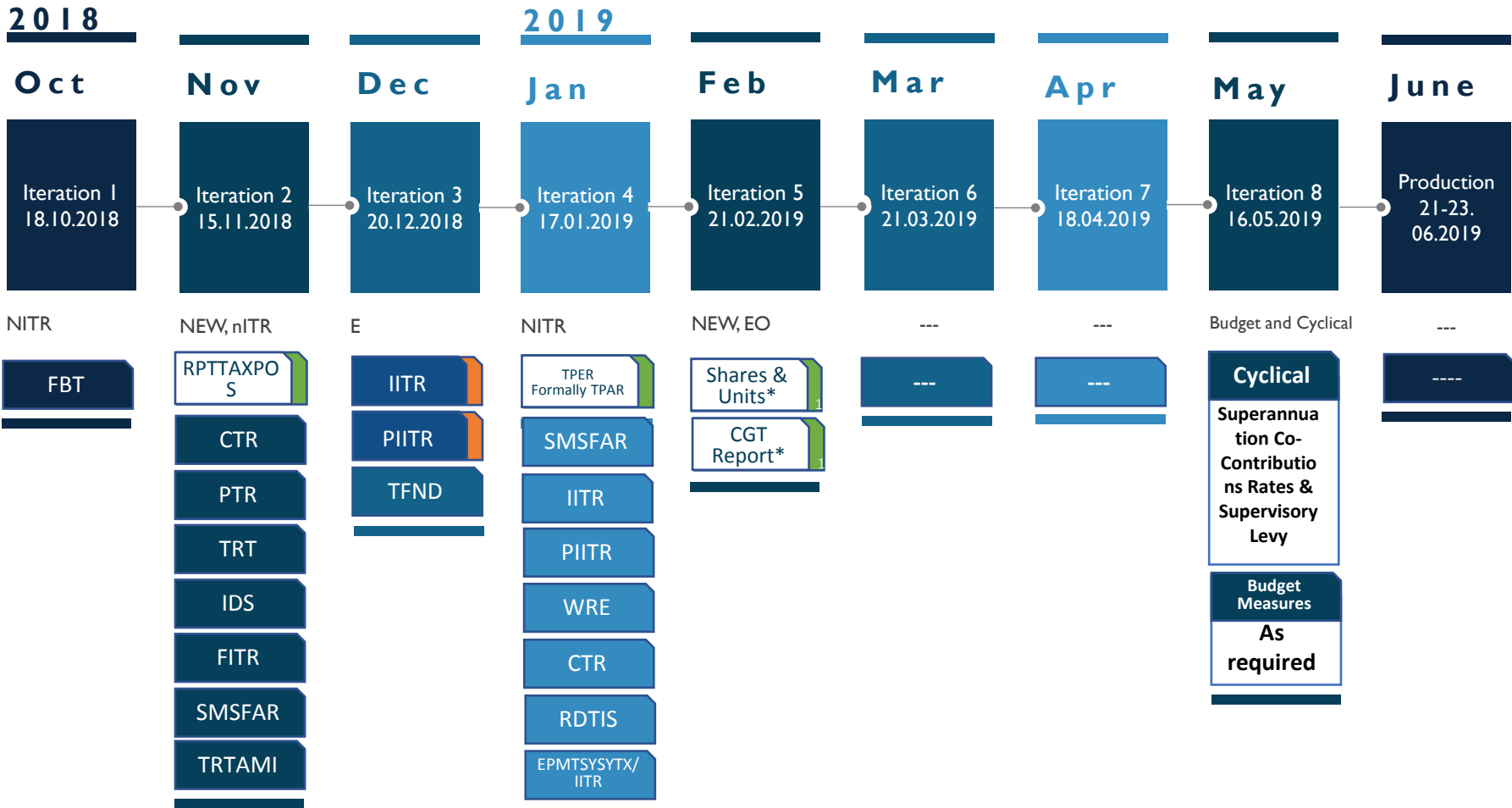


### Version Control

Version	Date	Comments
0.1	24.09.2018	Initial Draft

### Table of Contents

Slide	Slide Title
1	DWS FY 18/19 Front Cover
2	Version Control and TOC
3	Release on a Page
4	Version Control and TOC
5	October EVTE Deployment Overview
6	November EVTE Deployment Overview
7	December EVTE Deployment Overview
8	Jan EVTE Deployment Overview
9	Upcoming Work
10	The End



**Legend**

- New Service (Light Blue)
- Existing Service (Dark Blue)
- Publication Only (White with Green border)

# SERVICE OVERVIEW

## Fringe Benefit Tax [FBT]

**Feature:** 2019 Rollover of Fringe Benefit Tax

**Overview:**

There are no new definitional elements to be introduced or removed for FBT this year.

The schema remains the same and only minor changes in the rules to accommodate the logic for future year

The Message Type Text has been modified .

**Scale of Change:** Small



SERVICE OVERVIEW

Reportable Tax  
Position Schedule

**Feature:** Reportable Tax Position schedule for lodgment via SBR

**Overview:**

The Reportable Tax Position schedule (RPTTAXPOS) is a pre-existing ATO scheduled that is lodged via paper. The RPTTAXPOS requires large companies to disclose their most contestable and material tax position

**Further information on the Reportable Tax Position can be located on [www.ato.gov.au/ReportableTax Position](http://www.ato.gov.au/ReportableTaxPosition)**

The intent is to publish the design artefacts along with deploying the code into EVTE during the same November EVTE window.

**Scale of Change:** Small

TRTAMI

**Scale of Change:** Small

**Feature:** 2019 Rollover of Trust Attribution Managed Investments

Company Tax Return

**Features:**

- 2019 Rollover of the Company Tax Return for Legislative Program of Work FY 18-19
- Update Company Tax Return (CTR) for lodgment of Reportable Tax Position (RTP) schedule via SBR

**Overview:**

The Company Tax Return service will be the only ATO instrument to allow the attachment of the new 'Reportable Tax Positions' scheduled being developed for FY 18/19.

Minor work on CTR service has occurred to allow the schedule to be attached and basic validation around it's presence.

**Scale of Change:** Small

PTR

**Scale of Change:** Small

**Feature:** 2019 Rollover of Partnership Tax Return

FITR

**Scale of Change:** Small

**Feature:** 2019 Rollover of Fund Tax Return

International Dealing  
Schedule

**Features:**

- Update International Dealings Schedule - OECD Hybrid Mismatch Arrangement Rules

**Overview:**

Measures which have been introduced this year for IDS are aimed at preventing multinationals from gaining an unfair advantage by avoiding income tax or obtaining double tax benefits through hybrid mismatch arrangements.

**Scale of Change:** Medium

TRT

**Scale of Change:** Small

**Feature:** 2019 Rollover of Trust Tax Return

SMSFAR

**Scale of Change:** Small

**Feature:** 2019 Rollover of Self-managed SuperFund

SERVICE OVERVIEW

Individual Income Tax  
Return  
[IITR]

**Feature:** 2019 Rollover of Individual Tax Return

**Feature:** Granular Data for PLS

**Scale of Change:** Medium to Large

**Overview:**  
As the ATO aligns services to provide value to their clients, Digital Service Provider, and Tax Agents, the IITR is being re-worked to align more to the myTax experience. This move will....

...be closer alignment of pre-filled data with the lodge data.

...support a move toward implementing IITR in additional dta formats e.g.: XML

...be a consistent digital channel for self-preparers and agent returns, providing for ease of movement between an agent and self-preparers.

...Provide agents with granular data for each applicable label on the return

....allow DSPs to provide a wholesale equivalent of myTax.

...Provide a pre-populated return that agents may only need to review and modify before lodgment.

Tax File Number  
Declaration  
[TFND]

**Features:**  
- Update TFN declaration form for VSL and SFSS

**Overview:**  
Introduce a new element to separate the VSL and SFSS amount.

**Scale of Change:** Small

SERVICE OVERVIEW

Self-managed Super Fund Annual Return  
[SMSFAR]

**Feature:** Total Super Balance – Limited Recourse Borrowing Arrangement

**Overview:**  
LRBA (Limited resource borrowing arrangements - add a new label for trustees to report the LRBA amount for each member

**Feature:** Downsizer

**Overview:**  
additional labels added for SMSFS to report contributions from the proceeds of the sale of their home to their super. Additional fields added for members to report their downsizer contribution

**Scale of Change:** Medium

**Feature:** 3-year audit changes for SMSFAR

**Overview:**  
3yr audit - Change the requirement for SMSFs to conduct annual to a 3 year cycle. Trustees are required to self assess their need to complete an audit, additional labels are added for trustees to advise the ATO of their eligibility

**Feature:** Rollover Super

**Overview:**  
To support a new SMSF Verification Service (Q3 2019 scope), additional validation is to be applied to FIA and ESA provided in the SMSFAR to ensure better quality data is received. This data will be used to validate SMSF Verification service requests

Company Tax Return  
[CTR]

**Feature:**

- Update the Company Income Tax Return to support Better Targeting of the R&D tax incentive

**Overview**  
CTR requires minor changes to supports the development work that the delivery is to be delivered in 2019 Q2 for RDTIS

Research and Development Schedule  
[RDTIS]

**Features:**

- Update the R&D schedule to support Better Targeting of the R&D tax incentive

Prefill ITR  
[PIITR]

**Features:**

- Rollover of Pre-fill

**Features:**

- Unfinalised Data in Prefill for STP

**Features:**

- Unfinalised Data in Prefill for STP

ITERATION  
FIVE

FEBRUARY 2019

Draft  
VERSION

0.1

Share and Units

CGT Report

Shares &  
Units\*

CGT  
Report\*

# SERVICE OVERVIEW

### Ongoing development of Legislative Program of Work (TT2019) Features

**Overview:**

Our (DWS) Business cohorts continue to develop and delivery features throughout the year. This delay in feature delivery could impact DWS and external Stakeholders

### Activity Statement Financial Processing [ASFP]

**Overview:**

The ATO continues the work that will see the processing of Activity Statements move away fro legacy systems and into ICP.

### Activity Statement: Online BAS Check

**Overview:**

The Online BAS Check continues, The intent of the Online BAS Check it to drive an improved lodgment experience for tax professionals and clients by leveraging analytics, providing real time messages and reducing inadvertent errors and anomalies prior to electronic BAS lodgement.

# Digital Identity Program

**Presented by:**

Claire Miller

Director, Digital Communication & Identity Services

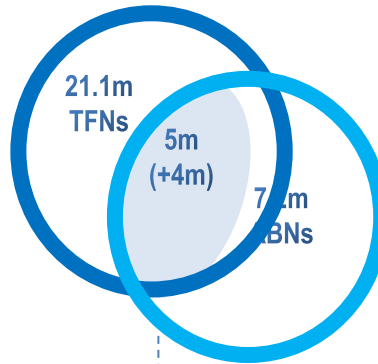
# DIGITAL IDENTITY | Why is the ATO involved?

Issue **775,000**  
new TFNs per year

(2016-17)



**21.1 million**  
active TFNs



**7.2 million**  
active ABNs



Issue **855,000**  
new ABNs per year

(2016-17)



## Individual TFN applications

- **74%** Resident (for tax purposes)
- **26%** Non-resident (for tax purposes)

(**41%** 0-19 yrs; **42%** 20-30 yrs;  
**17%** 31+ yrs)

## Active individual TFN use

- **97%** Aus. residents for tax purposes
- **3%** Non-resident for tax purposes

## Types of ABNs

- **44%** Individual
- **25%** Company
- **13%** Trust
- **9%** Partnership
- **8%** Super Fund
- **0.17%** Government

## Types of ABNs

- **64%** Individual
- **19%** Company
- **9%** Trust
- **4%** Partnership
- **4%** Super Fund
- **0.01%** Government

Over **5m** TFNs mapped  
directly  
to Associates  
of **7.2m** ABNs

Over **4m** TFNs are 'authorised'  
to represent ABNs  
(e.g. spouses of  
sole traders)



New TFNs are not automatically  
connected to myGov, ATO Online  
or ATO App



Only **6.4m** have their myGov account  
linked to ATO  
Only **1m** currently use the ATO App  
(credentials are  
a limiting factor)

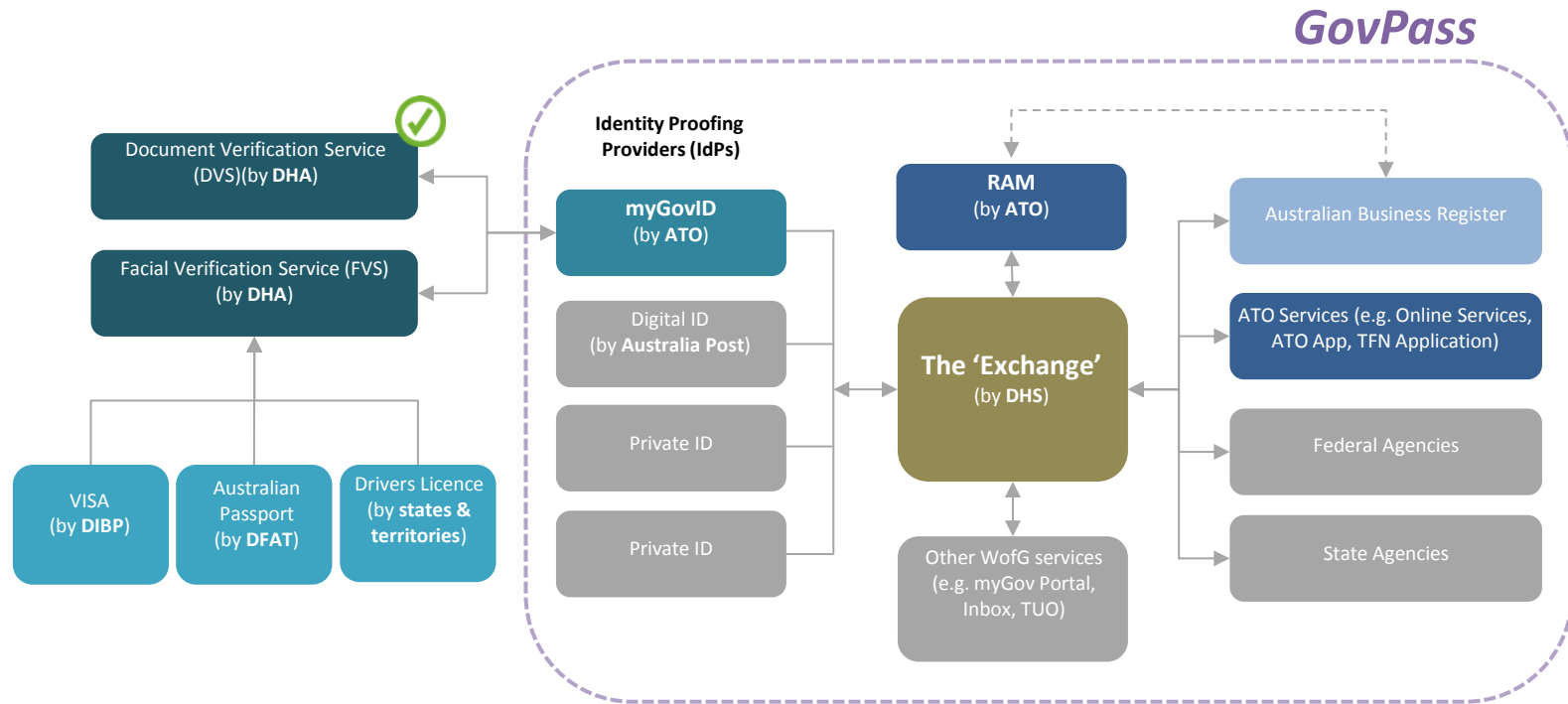


Less than **2m** ABNs  
have an online account/ credential  
with the ATO  
or with Digital Service Providers  
(via Cloud)



No ABN (or underlying  
TFN of an Associate) receives  
credentials or  
an online account during  
registration

\* myGov links as at 23 Jan 2018



! Department of Home Affairs (DHA) are working with the Department of Immigration & Border Protection (DIBP), Department of Foreign Affairs and Trade (DFAT) and states & territories to enable Facial Verification Service (FVS) for full production

! The ATO is building the myGovID IdP and RAM, and integrating the solution with ATO specific services (e.g. online TFN application)

! The Department of Human Services (DHS) must enable integration with the myGov Portal

! The Department of Human Services (DHS) must enable integration with the myGov Portal



# DIGITAL IDENTITY | What the digital identity program will deliver

The ATO is delivering two core components of the GovPass program (managed by the Digital Transformation Agency):



1. **myGovID:** A way to prove who you are. Establish your identity once and use your myGovID credential to access government services online.



2. **Relationship Authorisation Manager:** A way to manage your authorisations across government services, initially for business authorisations.



As the first service to be trialled under the GovPass program the ATO will deliver:

- **Online TFN application:** Allowing individuals to prove who they are with myGovID and receive a TFN in real time.



myGovID and Relationship Authorisation Manager are replacing AUSkey:

- **Managing the AUSkey transition:** In March 2020 AUSkey will be decommissioned. Existing AUSkey users will need to transition across to myGovID and Relationship Authorisation Manager.

## DIGITAL IDENTITY | AUSKey limitations

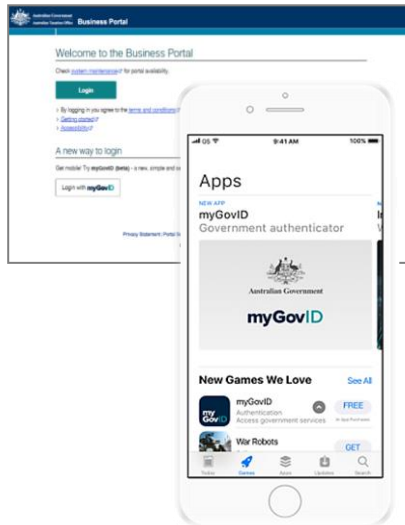
AUSKey has not kept pace with changes in technology and will not meet the future needs of most tax professionals and businesses.



- ✘ AUSKey is not compatible with all internet browsers
- ✘ A separate AUSKey is required for every ABN
- ✘ Authorisations are tied to AUSKey credential and do not carry across channels
- ✘ AUSKey is not supported by mobile platforms
- ✘ AUSKey is not attached to an individual, authorisations can be used by anyone who has them
- ✘ AUSKey relationships administration is labour intensive for administrator AUSKey holders
- ✘ There is no password reset functionality.

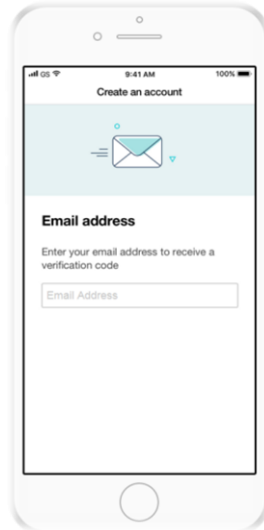
# DIGITAL IDENTITY | Setup myGovID (once only)

1  
**Download myGovID app from app store**



User is prompted to create a myGovID when accessing an online service or can go directly to the app store and find the myGovID app

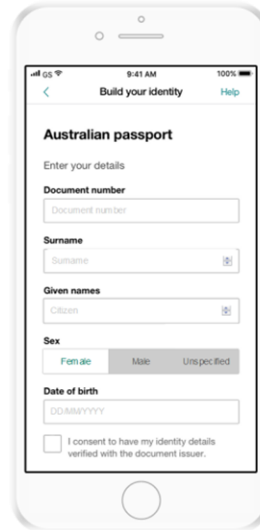
2  
**Create myGovID account**



Enter and verify email address, enable touch ID/face ID, provide personal details

↓  
**Now IP1**

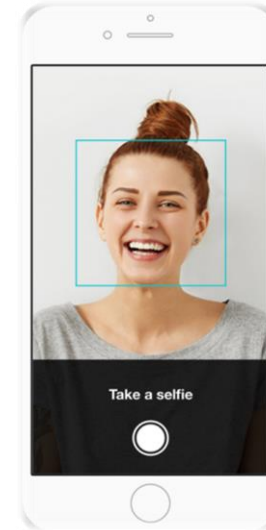
3  
**Build Identity**



Identity documents attributes provided, verified with DVS x3

↓  
**Now IP2**

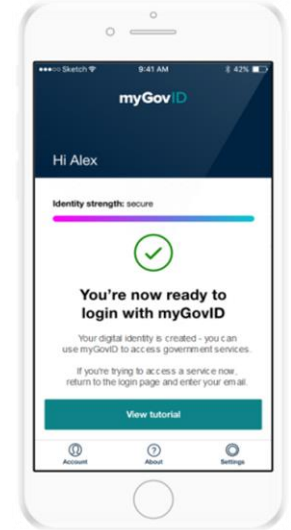
4  
**Verify facial image**



Liveness capture, facial image matched and verified against photo ID document with FVS

↓  
**Now IP3**

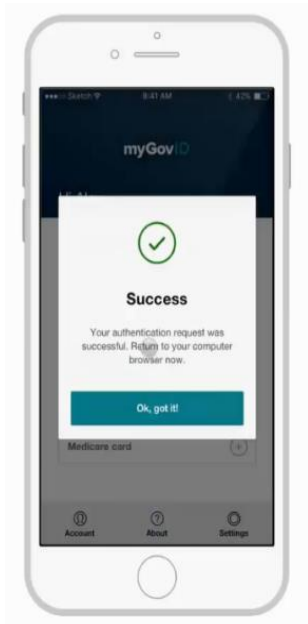
5  
**Digital identity created**



myGovID is now ready to be used to login and access online services

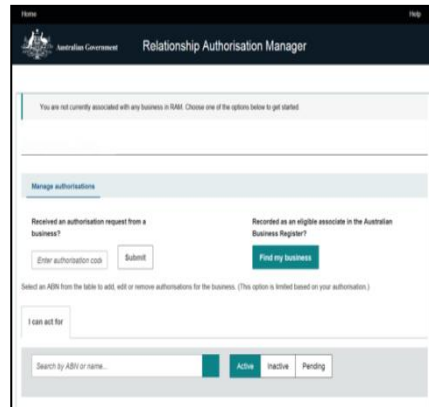
# DIGITAL IDENTITY | Setup business authority in Relationship Authorisation Manager

1  
Log into RAM with  
your myGovID



Simple and  
secure process to  
log into RAM

2  
Select 'Find my  
business'



A one time process  
to link your business  
in RAM

3  
Enter address  
details

A screenshot of the "Your details" form in the RAM interface. It includes fields for "Given name" (Alex), "Family name" (Citizen), and "Date of birth" (01/02/1970). Below this is a section for "Additional information for you to provide" and "Enter your residential or postal address as held by the ATO". It contains fields for "Address line 1", "Address line 2", "Suburb/town/locality", "State/territory", "Postcode", and "Country". There are "Cancel" and "Continue" buttons at the bottom.

Validates user against  
businesses they are  
listed as an eligible  
associate in the ABR

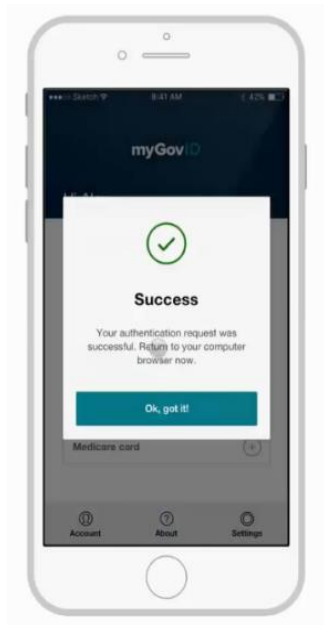
4  
Select businesses  
to bring into RAM

A screenshot of the "Businesses that have you recorded as an eligible associate on the Australian Business Register (ABR)" screen. It features a table with columns for "Selected", "ABN", and "Entity Name". The table lists three businesses: "12 345 678 909 - Alex Citizen", "34 434 678 434 - The Trustee for the Citizen Family Trust", and "44 345 309 212 - A.F. Citizen and D.J. Citizen". The third business is selected. Below the table, there are "Back", "Cancel", and "Continue" buttons.

Business relationship  
set-up and can now  
be used to manage  
authorisations

1

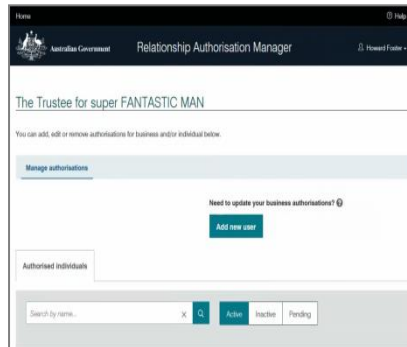
Log into RAM with your myGovID



Simple and secure process to log into RAM

2

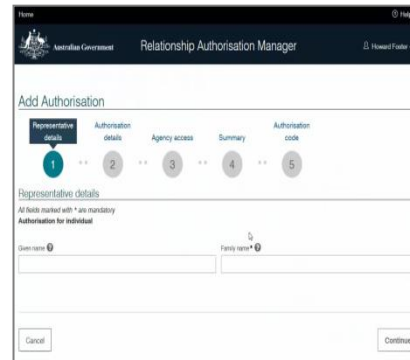
Select business and 'Add new user'



Add new users to be authorised for business

3

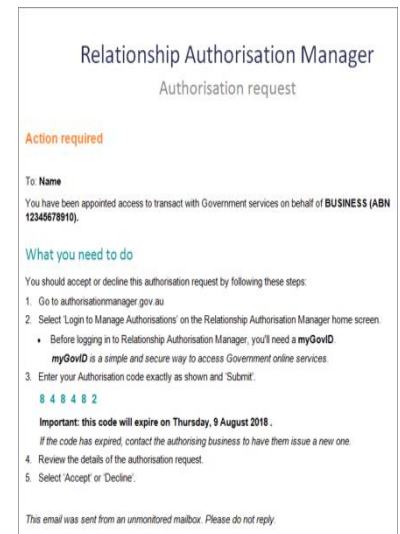
Complete authorisation request for business representative



Determine authorisation and access required for user

4

Email sent to business representative with authorisation code

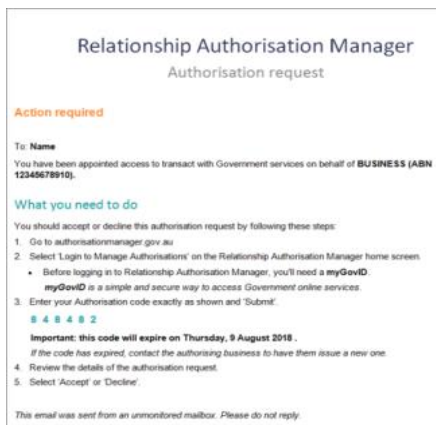


Clear instructions to allow user to either accept or decline the authorisation request

# DIGITAL IDENTITY | Employee accepts

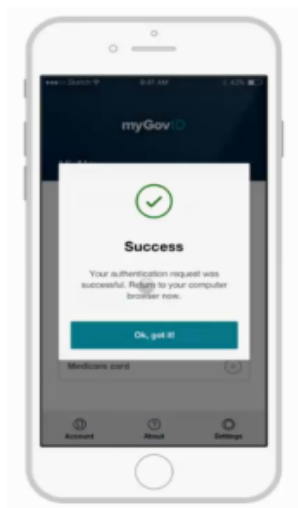
1

Receive email with authorisation code and instructions on what to do next



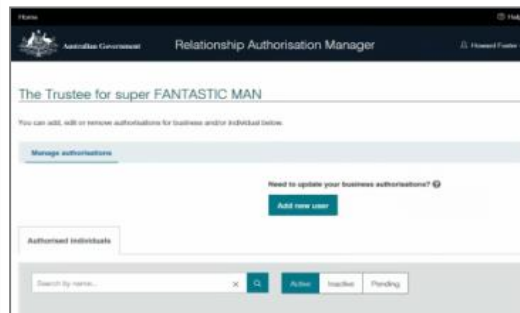
2

Log into RAM with your myGovID



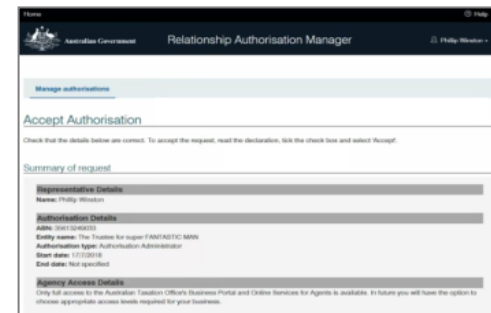
3

Enter authorisation code



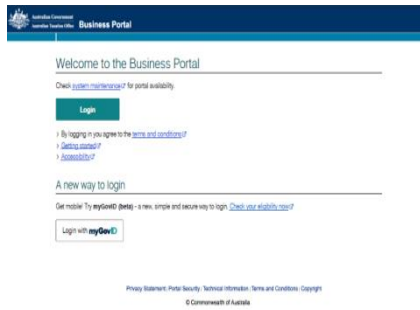
4

View authorisation request and accept



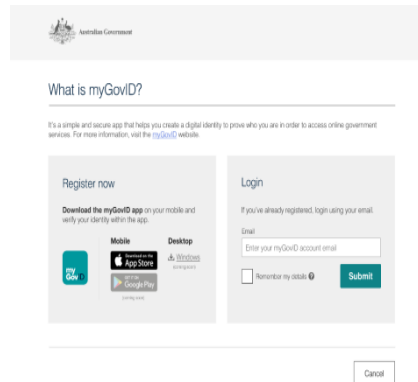
# DIGITAL IDENTITY | Login (regular re-use)

## 1 Choose myGovID to login to online service



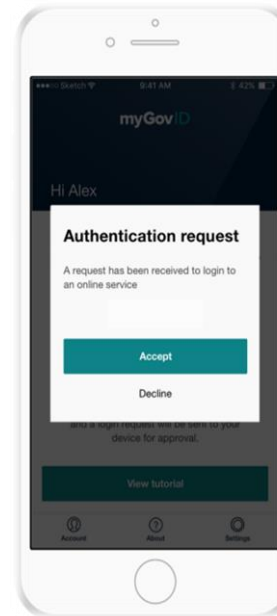
User navigates to online service and chooses to use myGovID to login

## 2 Enter email address



User enters email address and submits, authentication request sent to registered devices

## 3 Accept request



User receives alert on device, opens app, authenticates and accepts request

## 4 Logged in to online service



User is logged into online service

- ✓ The ATO will deliver the myGovID and Relationship Authorisation Manager products to expedite the replacement of the AUSkey credential, which will be decommissioned in March 2020.
- ✓ The ATO approach is to deliver these products into production via private and then public releases.
- ✓ Initial release focus on retail platforms with wholesale including M2M to follow at a later stage.

## Private beta testing



---

### Businesses



July 2018

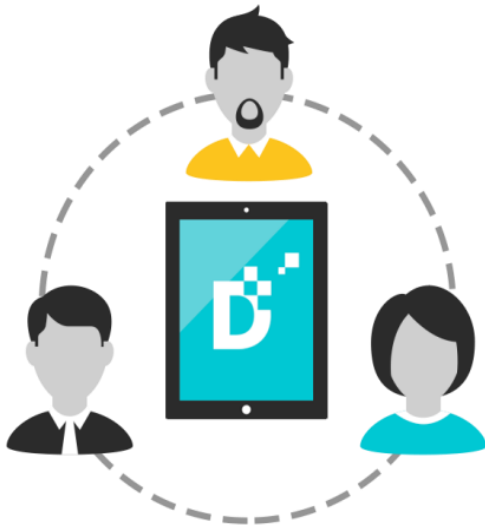
### Agents



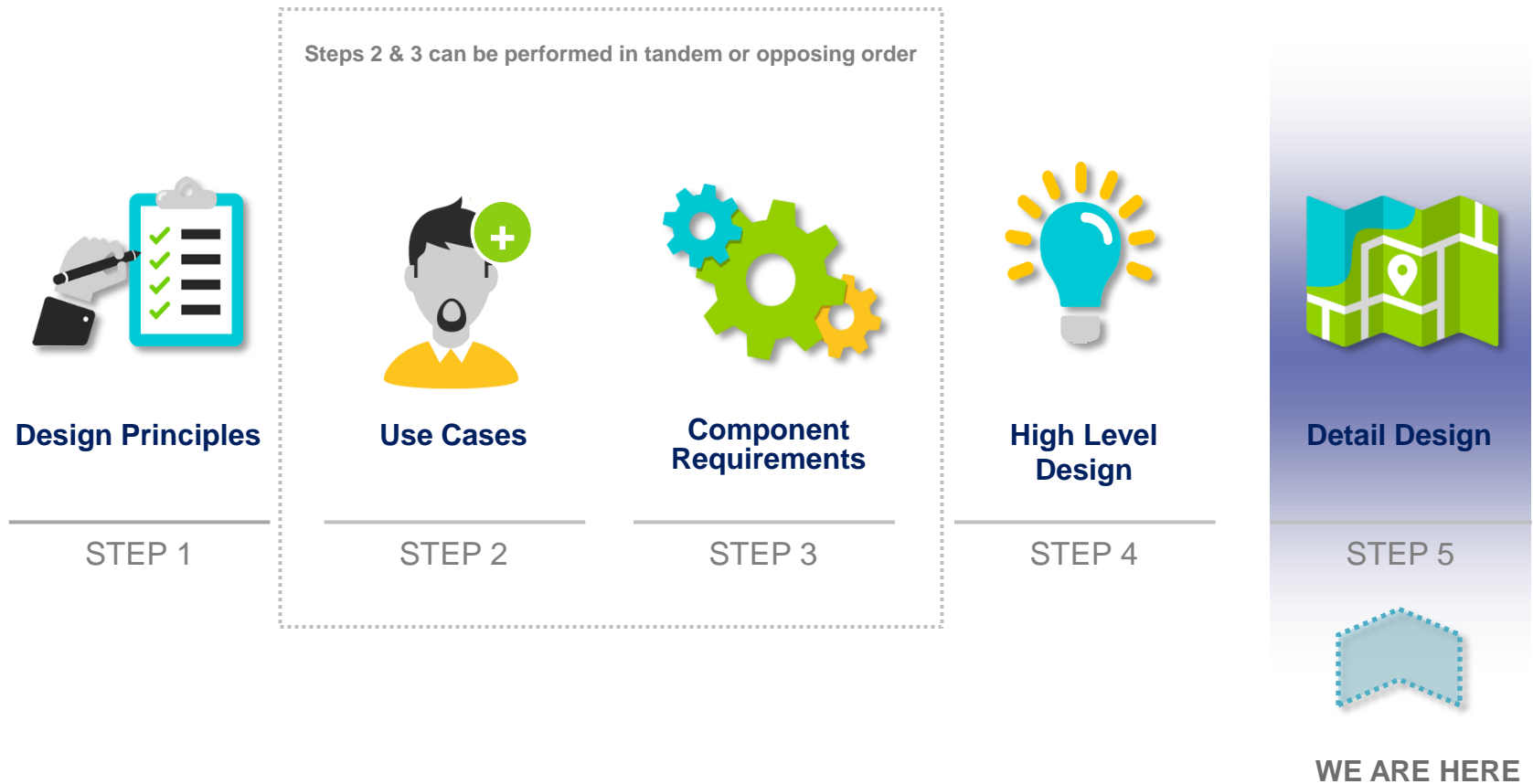
September 2018



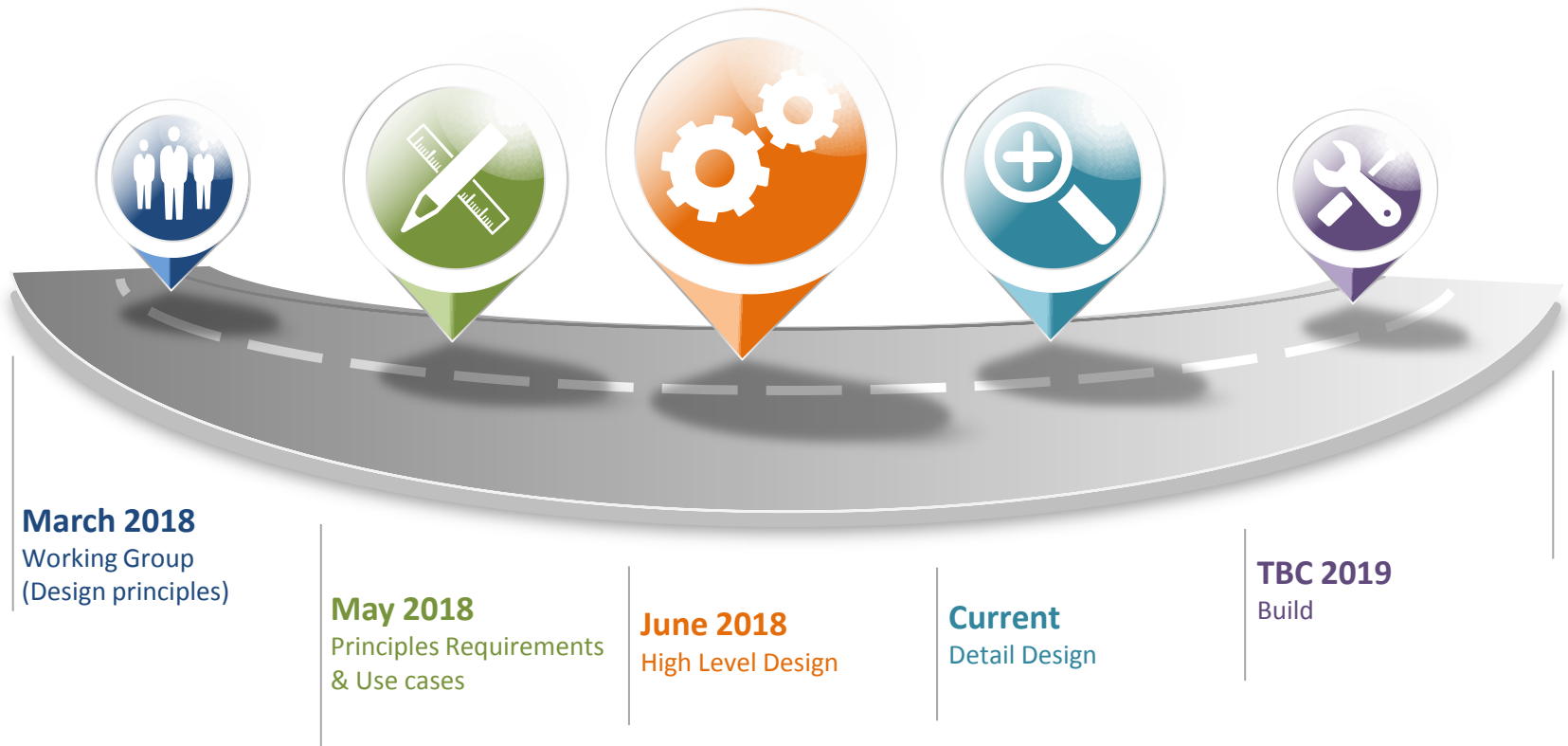
# Digital Identity Service Credential (M2M)



- ✓ Adhere to industry established design principles in lieu of best practice
- ✓ Support functional & non-functional component requirements
- ✓ Meet all high and detailed level design specifications
- ✓ Support industry recognised use cases and scenarios
- ✓ Provide an alternative credential choice for AUSkey reliant entities



# DIGITAL IDENTITY | Service credential (M2M) – Development Journey



Lunch

# Online Services for DSPs

**Presented by:**

Martin Mane

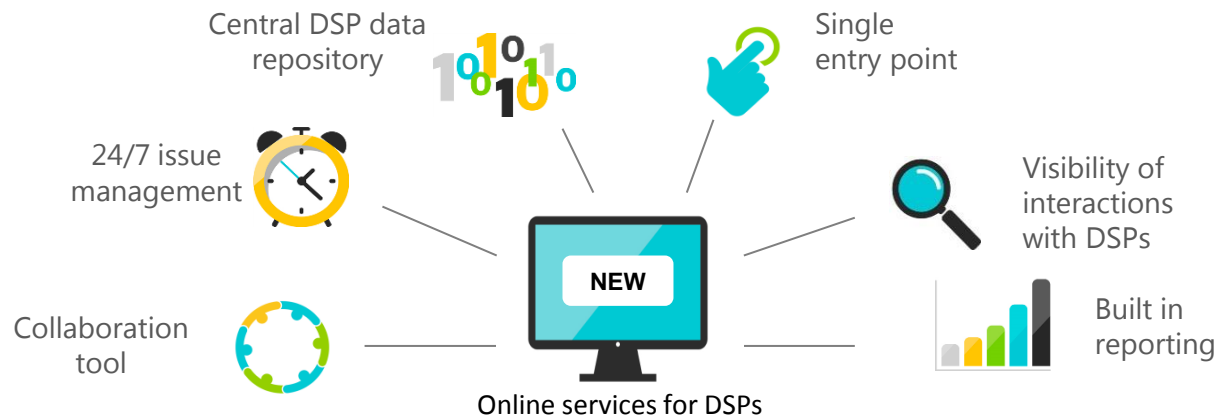
Director, Digital Partnership Office

# ONLINE SERVICES FOR DSPs | Overview

Online Services for Digital Service Providers (DSPs) provides a single point for DSPs to self-serve (24/7). Functionality which will be available includes:

- ✓ logging and tracking their incident and request tickets
- ✓ accessing their support
- ✓ accessing communications and collaboration spaces
- ✓ providing a central point for information sharing
- ✓ storing their profile information to streamline their engagements
- ✓ Requires multi-factor authentication to login

## Future state



## Current state

- ✓ Online services for DSPs platform is currently in Public beta.
- ✓ Progressive on-boarding of remaining DSPs will occur progressively from here onwards.

---

# The DSP Experience

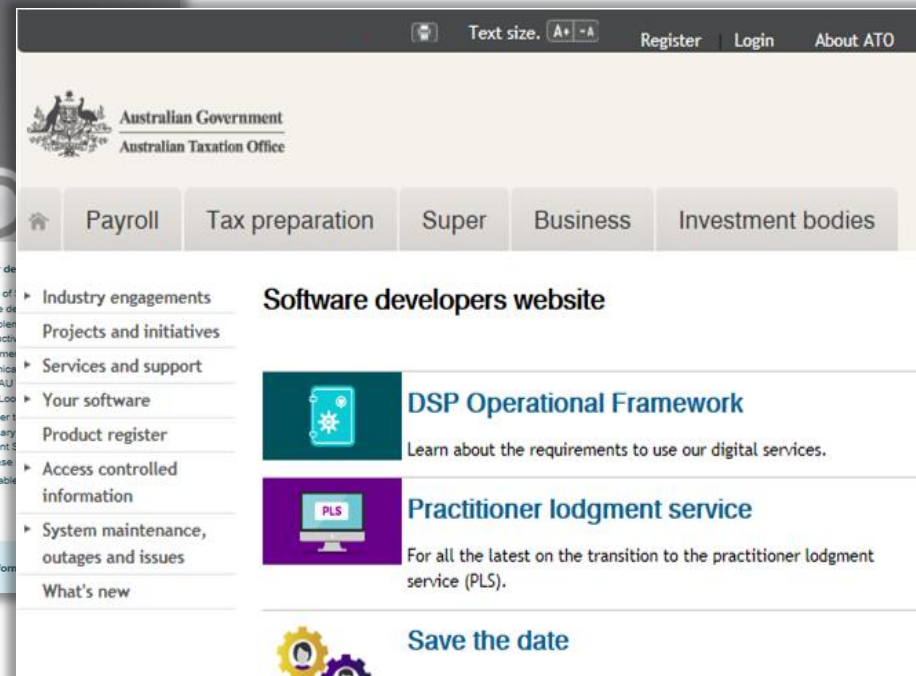
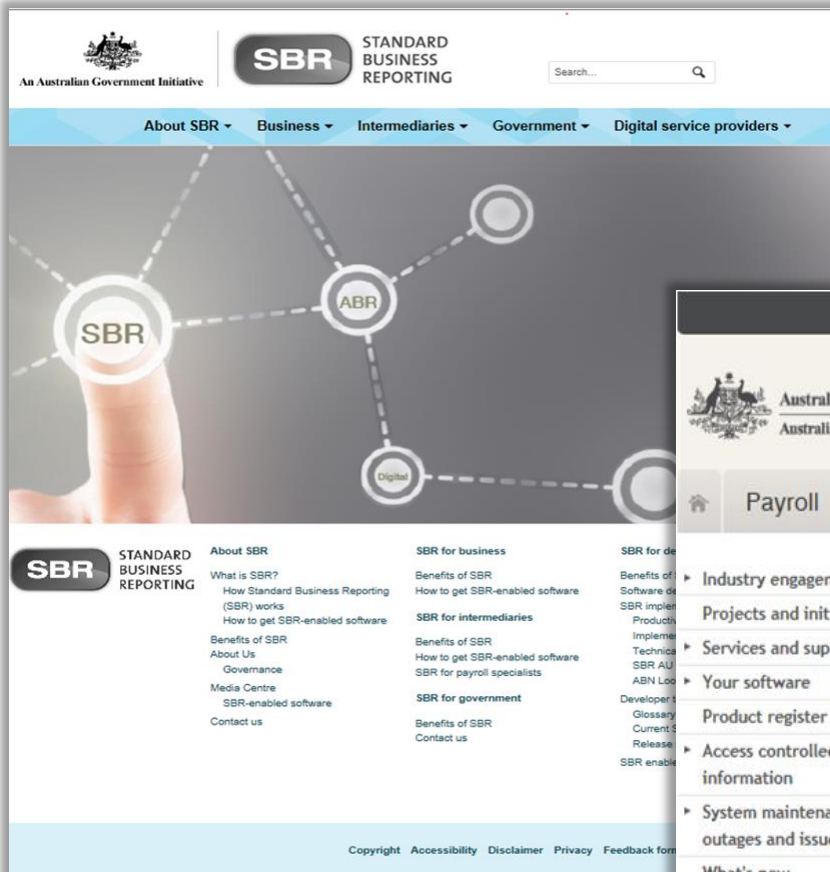
*'A new DSP registers for SBR'*

---



# REGISTER AS AN SBR DEVELOPER | Entry point

- Entry point – DSPs access the **launching page** via entry points on SBR or Software Developers website. DSPs can save the launching page as a favourite or bookmark.
- Interim entry point – During the Private Beta the DPO will provide participants with a URL to access 'Online Services for DSPs'.
- All DSPs will be taken to an authentication screen (hosted by Vanguard) to enter their AUSkey or Manage ABN c\Connections (MAC). Future use will be via myGov ID.



# REGISTER AS AN SBR DEVELOPER | Choosing a ticket

- All DSPs need to register to consume SBR services and gain access to 'online services for DSPs', all DSPs will have authenticated prior to this point.
- DSPs can use the direct link to the registration ticket, or via the left hand menu and navigate to the registration ticket

The screenshot shows the Australian Government DSP Service Desk interface. At the top is the Australian Government crest and the text 'Australian Government'. Below this is the heading 'Welcome to Online services for Digital service providers' and 'DSP Service Desk'. A message states: 'You need to be registered before you can access support, log and track your requests, share and store information, and collaborate in this secure environment.' A link says 'To register, click here.' Below are navigation links: 'Collaboration Hub | Knowledge Base | ATO Software Developer Website | SBR Website | PLS System Status | SBR Taxonomy | SBR Sharefile'. A search bar is labeled 'Search Knowledge Base for help with your query' with the placeholder text 'What do you need help with?'. A left-hand menu includes 'Incident Management', 'Registrations & profiles', 'Develop a product', and 'Maintain a product'. The 'Registrations & profiles' section is expanded to show a list of actions: 'SBR developer registration' (with a green checkmark icon), 'Update SBR developer details', 'New contact registration', 'Update contact details', 'Remove a contact', and 'De-register SBR developer' (with a red X icon).

Direct link to ticket

→ To register, click here.

Alternate navigation from the menu

→ Registrations & profiles

Icons provide the ability to easily distinguish request tickets. These are draft and set by the DPO



SBR developer registration  
Register as an SBR developer



Update SBR developer details  
Notify of any changes of details



New contact registration  
Register as a contact for an existing SBR developer



Update contact details  
Notify of any changes to contact details for DSP



Remove a contact  
Use this request when you wish to cancel access for a user in your company




De-register SBR developer  
Use this request when you wish to be removed from the DSP Portal

Registration ticket

# REGISTER AS AN SBR DEVELOPER | Lodging a ticket

DSPs complete the registration ticket and can choose which agency they are requesting to consume services from

 / Online Services for DSPs  
**SBR developer registration**

The Commonwealth is collecting the information on this form for the registration to the SBR program. The Commonwealth may provide the personal information to other SBR agencies for the administration of the program.

Raise this request on behalf of

---

Legal entity name

ABN

ACN

Company overview - include services being developed

Registered address

Website

Country

Authorised Representative

Position

Phone

Email

What platform will you be developing on? E.g. Java, .net, PHP, C++, Ruby, Etc.

**Directed Agency**

- ACT RO
- APRA
- ASIC
- ATO
- NSW OSR
- NT RO
- QLD OSR
- SA OSR
- SBR Core
- TAS SRO
- VIC SRO
- WA OSR

**Authorisation**

I declare that: I am authorised to make this statement for the above software developer, and the information given in this statement is true and correct. It is DSP responsibility to update the Agency with any changes.

**Declaration**

I declare that the above company agrees to the SBR Conditions of Use and the associated supplements Copyright, SBR End User Agreement Disclaimer and Privacy conditions.

**Acknowledgement**

The software developer acknowledges that it is an offence under Division 137 of the Criminal Code 1995(cth) to give false or misleading information to the Commonwealth.

**Additional comments (optional)**

If you are having difficulty in using our online services, contact [dpc@ato.gov.au](mailto:dpc@ato.gov.au)

# REGISTER AS AN SBR DEVELOPER | Confirmation email

DSPs will receive a confirmation email once they have 'created' a ticket and have the opportunity to provide feedback

From: **Online Services for DSPs** <[SBRService@ato.gov.au](mailto:SBRService@ato.gov.au)>  
Date: Thu, Aug 2, 2018 at 2:12 PM  
Subject: DSPPT-77 Activity Statement  
To: TEST

Your request is successfully submitted.

The job number for this request is DSPPT-77.

DSP is provided with a reference number

You can monitor the progress of your request through the Online Services for DSP's.

Digital Partnership Office commented on your request DSPPT-77.

To add additional comment, login to the Online Services for DSP's.

**How was our service for this request?**

☐

Very poor    Poor    Neither good nor poor    Good    Very good

☐

DSP has the option to provide a rating on their experience

## REGISTER AS AN SBR DEVELOPER | Viewing the ticket summary

Once DSP has completed the registration request they can view and track their ticket through the 'Requests' summary screen

Type	Reference	Summary	Service Desk	Status	Requester
✓	DSPPT-46	New DSP registration	Service Desk - Online Services for Digital Service Providers	WAITING FOR CUSTO...	Joe Smith

Icons are used to distinguish request types

DSP reference number allocated to each request

Each request is given a status

# REGISTER AS AN SBR DEVELOPER | Review and update a ticket

DSPs can open the ticket by clicking on the reference ID and see more detail about their request. In the screen below the DSP has not provided a proper ABN and has been requested to comment with their correct ABN.

**New DSP registration** WAITING FOR CUSTOMER

Comment on this request...

**Activity**

Your request status changed to **Waiting for customer**. 12/Jun/18 3:12 PM LATEST

**Alex Van Vucht** 12/Jun/18 3:12 PM  
Please send a proper ABN

**Details** 13/Apr/18 1:35 PM

Legal entity name  
**Titan Software**

ABN  
12234234234

ACN  
2345678

Company overview - include services being developed  
Designer

Registered address  
13 Sunny Road, Sunville

Website  
<http://www.lisa.com.au>

Country  
Australia

Position  
Director

Phone  
02 9999 9999

Email  
[sharna@live.com.ua](mailto:sharna@live.com.ua)

What platform will you be developing on? E.g. Java, .net, PHP, C++, Ruby, Etc.  
java

Authorisation  
I declare that: I am authorised to make this statement for the above software developer, and the information given in this statement is true and correct. It is DSP responsibility to update the Agency with any changes.

Directed Agency  
**ATO**

Declaration  
I declare that the above company agrees to the SBR Conditions of Use and the associated supplements Copyright. SBR End User Agreement Disclaimer and Privacy conditions.

**Don't notify me**

**Share**

**Resolve this issue**

**Cancel request**

**Shared with**

**Joe Smith**  
Creator

**Titan Software**  
Remove

DSP can reply to the DPO response

DSP can view the details of the response from DPO

---

# The DSP Experience

*'Once a DSP is registered they will have access to the Collaboration Hub'*

---

# COLLABORATION HUB | Homepage

DSPs will have access to the Collaboration Hub once they are registered as an SBR developer. A few examples are shown below.

DSPs can search a **knowledge base** repository of information to help troubleshoot common problems

DSPs can view and update their own **product profile** via a holistic summary of their services

DSPs can view **engagements** for specific projects or initiatives as well as being able to collaborate with other DSPs in a single online space

The screenshot shows the homepage of the Collaboration Hub for Digital Service Providers (DSPs). The page is titled "Collaboration Hub - Online services for Digital service providers". The navigation menu on the left includes sections for "Pages", "Blog", "SPACE SHORTCUTS", and "PAGE TREE". The "PAGE TREE" section lists various categories such as "Annual events", "Digital Partnership Office (DPO)", "DSP Profile", "Information sessions", "Special purpose working groups", "Stakeholder relationship groups", "Targeted consultation", and "Upcoming engagements". The main content area features a large image of four people in a meeting. Below the image is the "About the collaboration hub" section, which states the purpose of the website and provides a list of links for "Annual events", "Information sessions", "Special purpose working groups", "Stakeholder relationship groups", "Targeted consultation", and "Upcoming engagements". The "Recently updated" section lists several items, including "Collaboration Hub - Online services for Digital service providers" and "Titan Software". At the bottom of the page, there is a "Show More" link, a "Like" button, and a "Write a comment..." input field.



# COLLABORATION HUB | Knowledge base

When the DSP navigates to the Knowledge Base they have access to search or navigate through a repository of information to troubleshoot common problems.

The screenshot displays the Knowledge Base interface. At the top, there is a navigation bar with 'Spaces' and 'Calendars' tabs, a search bar, and utility icons. The main content area is titled 'Knowledge Base - Online services for Digital service providers'. Below the title is a large banner image featuring a hand pointing at a digital interface with hexagonal icons labeled 'ONLINE', 'KNOWLEDGE', and 'INFORMATION'. A search bar is prominently displayed with the text 'Search Knowledge Base for help with your query' and a placeholder 'Search for a solution'. Below the search bar is an 'About Knowledge Base' section with a brief description. At the bottom right, there is a 'Recently updated articles' section listing several articles.

Optional menu navigation or search

Search Knowledge Base for help with your query

Search for a solution

**About Knowledge Base**

Knowledge Base is a repository of information to help you troubleshoot common problems. The Knowledge Base is also used by the Digital Partnership Office Support team to provide you with practical and procedural guidance.

Recently updated articles

- Cloud software authentication and authorisation (CAA)
- Bulk data exchange (BDE) - File transfer test facility - Q&A's
- Knowledge Base - Online services for Digital service providers
- Reporting data breaches
- Reporting data breaches

# COLLABORATION HUB | DSP profile

When the DSP navigates to their profile, they can view information about their registration as a DSP and each of their products, the APIs/services being consumed and the various stages of development or production.

Ability to view their own DSP profile including registration details and contacts etc

## Profile

To change the details below, visit the Online Services for DSPs

DSP name	Titan Software
Updated	13/Jun/18 2:37 PM
Created	01/Feb/18 2:06 PM
ABN	12 023 878 012
Registered address	Level 1, 60 York St, Sydney NSW 2001
Company Overview	Titan Software is a Australian based software company that develops cloud-based accounting software for small and medium-sized businesses.
Country	Australia
Authorised Representative	Joe Smith

## Services - Production

These are services registered for your products. To change the details below, visit the Online Services for DSPs

Name	Titan Ajax - Prod	Titan Midas - Prod	Titan Midas SBR1 Prod
Product	Titan Ajax	Titan Midas	Titan Midas
Information Domain	Activity Statements	Superannuation Automatic Exchange of Information Income Tax Returns - Non-individual Tax Practitioner Practice Management Activity Statements	Administrators of Payment Systems Business Registration Income Tax Returns - Individual
Status	PRODUCTION	PRODUCTION	SELF-CERTIFIED
Updated	31/Jul/18 12:20 PM	31/Jul/18 12:20 PM	31/Jul/18 1:25 PM

Showing 3 out of 3 objects | [Export to CSV](#)

## Services - Non Production

These are services registered for your products. To change the details below, visit the Online Services for DSPs

Name	Titan Ajax - Cond Approval	Titan Ajax - EVTE	Titan Ajax - PVT
Product	Titan Ajax	Titan Ajax	Titan Ajax
Information Domain	Administrators of Payment Systems Obligations	Income Tax Returns - Non-individual	Income Tax Returns - Individual
Status	CONDITIONALLY APPROVED	EVTE	PVT
Updated	31/Jul/18 8:24 AM	30/Jul/18 4:22 PM	30/Jul/18 4:21 PM

Showing 6 out of 6 objects | [Export to CSV](#)

DSP products and the services in production

DSP products and the services their products are developing through EVT or PVT

# COLLABORATION HUB | Upcoming engagements

When the DSP navigates to upcoming engagements, they can view a calendar of all events scheduled for the software industry. Further information is available about the event by clicking on it - details available are based on the DSP registration profile, some will be open to all, some will be restricted only to the participants.

The screenshot shows the 'Upcoming engagements' page in the Collaboration Hub. The page header includes the Australian Government logo, 'Spaces', and 'Calendars'. The main content area displays a calendar for July 2018. A callout box on the right points to an event on Friday, July 13th at 10:00 AM titled '10:00 AM Test event (13 July 10-11am)'. The callout box contains the text 'DSP can view an industry engagement'.

Pages / Collaboration Hub - Online services for Digital service providers

## Upcoming engagements

The following upcoming engagements are scheduled for the software industry.

Today July 2018

Mon	Tue	Wed	Thu	Fri	Sat	Sun
25	26	27	28	29	30	1
2	3	4	5	6	7	8
9	10	11	12	13 10:00 AM Test event (13 July 10-11am)	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31	1	2	3	4	5

See also

To view engagements for specific projects or initiatives refer to your category of interest:

- [Annual events](#)
- [Information sessions](#)
- [Special purpose working groups](#)
- [Stakeholder relationship groups](#)
- [Targeted consultation](#)

---

# The DSP Experience

*'Submitting an Operational Framework  
Questionnaire'*

---

# OPERATIONAL FRAMEWORK | Choosing a ticket

DSPs can submit their Operational Framework questionnaire for any new product and or any items for their annual review or a product change by navigating to the develop a product menu item and choosing the Operational Framework ticket

The screenshot shows the Australian Government DSP Service Desk interface. At the top is the Australian Government crest and logo. Below it is the heading "Welcome to Online services for Digital service providers" and "DSP Service Desk". A message states: "You need to be registered before you can access support, log and track your requests, share and store information, and collaborate in this secure environment. To register, click here." Below this are links for "Collaboration Hub", "Knowledge Base", "ATO Software Developer Website", "SBR Website", "PLS System Status", "SBR Taxonomy", and "SBR Sharefile". A search bar is present with the text "Search Knowledge Base for help with your query" and "What do you need help with?". A list of service tickets is displayed on the right, each with an icon and a description. The "Operational Framework" ticket is highlighted with a dashed arrow from the right. On the left, a menu lists "Incident Management", "Registrations & profiles", "Develop a product", and "Maintain a product". A dashed arrow points from the "Develop a product" menu item to the "Operational Framework" ticket.

**Australian Government**

## Welcome to Online services for Digital service providers

### DSP Service Desk

You need to be registered before you can access support, log and track your requests, share and store information, and collaborate in this secure environment.  
To register, [click here](#).

[Collaboration Hub](#) | [Knowledge Base](#) | [ATO Software Developer Website](#) | [SBR Website](#) | [PLS System Status](#) | [SBR Taxonomy](#) | [SBR Sharefile](#)

Search Knowledge Base for help with your query

What do you need help with?

- Incident Management
- Registrations & profiles
- Develop a product**
- Maintain a product

- Register to build a new product**  
Each DSP product requires registration. Post registration you will receive access to the EVTE environment
- Add a new service for an existing product**  
You can request access to a new service for an existing product
- Request PVT entry**  
Request PVT Entry - ATO provides PVT product ID
- Request production status**  
Request production status - ATO to assess PVT results and provide business approval
- CAA request**  
Cloud Software Authentication and Authorisation (CAA)
- Operational Framework**  
Operational Framework questionnaire

DSP chooses develop a product from the menu

Each ticket has its own individually assigned icon

DSP chooses Operational Framework

# OPERATIONAL FRAMEWORK | Lodging a ticket

DSPs can link to the Operational Framework questionnaire and instructions, this is completed by the DSP and then uploaded with their evidence.

DSP downloads the security questionnaire



Online Services for DSPs

## Operational Framework

Thank you for your patience while we create the online Operational Framework Security Questionnaire.

At the moment, DSPs are required to download, complete and attach the questionnaire with relevant evidence files.

The Operational Framework Security Questionnaire & instructions can be found here:

<http://dsp-portal.preprod.atohppnet.gov.au/collaborate/display/DSPCOLLAB/Operational+Framework>

Raise this request on behalf of

Rod Muir

Legal entity name

Search for an object

Product

Search for an object

Contact

Search for an object

Comment (optional)

Attachment

Drag and drop files, paste screenshots, or browse

Authorisation

I declare that: I am authorised to make this statement for the above software developer, and the information given in this statement is true and correct. It is DSP responsibility to update the Agency with any changes.

If you are having difficulty in using our online services, contact [dpo@ato.gov.au](mailto:dpo@ato.gov.au)

Create Cancel

DSP uploads their completed questionnaire and evidence



## OPERATIONAL FRAMEWORK | Viewing the ticket summary

Once the DSP has submitted the Operational Framework ticket they can track and manage their tickets through the 'Requests' summary screen.

The screenshot shows a 'Requests' summary screen with the following components:

- Filters: 'Open requests', 'Created by anyone', 'Any request type', and a search bar 'Search for requests'.
- Table with columns: Type, Reference, Summary, Service Desk, Status, and Requester.
- Table Row 1: **Icon** (blue square with white symbol), **Reference** (DSPPT-25), **Summary** (OF Questionnaire), **Service Desk** (Online Services for DSPs), **Status** (PENDING), **Requester** (Joe Smith).

Callouts from the image:

- Icons are used to distinguish request types (points to the blue icon in the 'Type' column).
- DSP has a reference number allocated to each request (points to the 'Reference' column).
- Each request is given a status (points to the 'PENDING' status in the 'Status' column).

# OPERATIONAL FRAMEWORK | Review and update a ticket

DSPs can see more detail about their request, view the history of status, comments and actions

The screenshot displays a ticket management interface for a request titled "OF Questionnaire" (ID: DSPPT-25) in a "PENDING" state. The interface includes a comment input field at the top, an "Activity" section on the left, and a list of actions on the right. Callouts highlight key features: "DSP can reply to the DPO response" points to the comment field; "DSP can see which contact created the ticket" points to the "Shared with" section; and "DSP can view the most recent DPO comment and history" points to the activity log.

**Callout 1:** DSP can reply to the DPO response

**Callout 2:** DSP can see which contact created the ticket

**Callout 3:** DSP can view the most recent DPO comment and history

**Activity Log:**

- Your request status changed to **Pending**. 21/Mar/18 3:54 PM **LATEST**
- Your request status changed to **In Progress**. 21/Mar/18 3:53 PM
- Rod Muir** 21/Mar/18 3:53 PM  
back to waiting for customer to provide remainder of evidence
- Your request status changed to **Waiting for support**. 19/Mar/18 4:55 PM
- Weddy Coombilie** 19/Mar/18 4:55 PM  
More evidence for Security Questionnaire  
[\*MCS1541 ISF 8 Integration Guide for the SBR CM.doc] (1.59 MB)
- Alex Van Vucht** 19/Mar/18 9:38 AM  
Please review this material  
[\*ISF 8 Integration Guide.docx]
- Your request status changed to **Waiting for customer**. 14/Mar/18 2:25 PM

**Right Panel:**

- Don't notify me
- Share
- Resolve this issue
- Cancel request
- Shared with:
  - Joe Smith (Creator)
  - Titan Software (Remove)

**Action Log:**

- Alex Van Vucht** 14/Mar/18 2:25 PM  
Thanks - more clarification needed on Question 4
- Your request status changed to **Waiting for support**. 14/Mar/18 2:24 PM
- Your request status changed to **Waiting for customer**. 09/Mar/18 11:03 AM
- Your request status changed to **In Progress**. 09/Mar/18 10:59 AM
- Your request status changed to **Waiting for support**. 09/Mar/18 10:58 AM
- Your request status changed to **Waiting for customer**. 09/Mar/18 10:55 AM
- Your request status changed to **Pending**. 09/Mar/18 10:51 AM
- Your request status changed to **In Progress**. 09/Mar/18 10:51 AM
- Your request status changed to **Pending**. 09/Mar/18 10:49 AM
- Your request status changed to **In Progress**. 09/Mar/18 10:29 AM



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# The DSP Experience

*'Requesting Production Verification Testing  
(PVT)'*

---

# REQUESTING PVT | Choosing a ticket

Once a DSP has developed their product and completed the Operational Framework they can request access to commence production verification testing (PVT).

The screenshot shows the Australian Government DSP Service Desk interface. At the top is the Australian Government logo and the text "Welcome to Online services for Digital service providers". Below this is the "DSP Service Desk" heading and a brief introduction. A search bar is present with the placeholder text "What do you need help with?". On the left, a navigation menu is visible with the following items: "Incident Management", "Registrations & profiles", "Develop a product" (which is highlighted with a blue bar), and "Maintain a product". To the right of the menu is a list of service options, each with an icon and a description:

- Register to build a new product**: Each DSP product requires registration. Post registration you will receive access to the EVTE environment.
- Add a new service for an existing product**: You can request access to a new service for an existing product.
- Request PVT entry**: Request PVT Entry - ATO provides PVT product ID. (This option is highlighted with a blue bar and has a dashed arrow pointing to it from the right.)
- Request production status**: Request production status - ATO to assess PVT results and provide business approval.
- CAA request**: Cloud Software Authentication and Authorisation (CAA).
- Operational Framework**: Operational Framework questionnaire.

DSP chooses develop a product from the menu

DSP requests PVT entry

# REQUESTING PVT | Lodging a ticket

The DSP uploads their EVT self certification results and requests a Product ID to undertake PVT in the production environment.

DSP can upload their results from the External Vendor Testing Environment (EVTE)

Online Services for DSPs  
Request PVT entry

Raise this request on behalf of  
Rod Muir

Legal entity name  
Search for an object

Product  
Search for an object

SBR service requiring PVT  
Search for an object

EVTE results - attach  
Drag and drop files, paste screenshots, or browse

Target PVT Entry Date  
[Calendar icon]

Further comments (optional)


If you are having difficulty in using our online services, contact [dpo@ato.gov.au](mailto:dpo@ato.gov.au)

Create Cancel

# REQUESTING PVT | Viewing the ticket summary

DSPs will be able to see and manage their tickets through the 'Requests' summary screen.

The screenshot shows a 'Requests' summary screen with a filter bar and a table of request items. The filter bar includes dropdowns for 'Open requests', 'Created by anyone', and 'Any request type', along with a search box. The table has columns for Type, Reference, Summary, Service Desk, Status, and Requester. A single request is displayed with a computer icon, reference number DSPPT-83, summary 'Request Conditional Whitelisting & PVT entry', service desk 'Online Services for DSPs', status 'WAITING FOR SUPPORT', and requester 'Joe Smith'. Three callout boxes provide additional context: 'Icons are used to distinguish request types' points to the computer icon; 'DSP has a reference number allocated to each request' points to the reference number; and 'Each request is given a status' points to the 'WAITING FOR SUPPORT' status.

Type	Reference	Summary	Service Desk	Status	Requester
	DSPPT-83	Request Conditional Whitelisting & PVT entry	Online Services for DSPs	WAITING FOR SUPPORT	Joe Smith

Icons are used to distinguish request types

DSP has a reference number allocated to each request

Each request is given a status

# REQUESTING PVT | Reviewing and update a ticket

Each request ticket is similar, the DSP can view further details of the ticket and take action when required.

The screenshot shows a support ticket interface. At the top, there is a breadcrumb trail: "/ Online Services for DSPs / DSPPT-83". The main title of the ticket is "Request Conditional Whitelisting & PVT entry", followed by a status badge that says "WAITING FOR SUPPORT".

Below the title is a comment box with the placeholder text "Comment on this request...". To the right of the comment box are several action buttons: "Don't notify me", "Share", "Resolve this issue", and "Cancel request".

The "Activity" section shows a comment from "Lisa Masin" posted "Today 1:02 PM", marked as "LATEST". The comment content is "PLS Report.xlsx (420 kB)".

Below the activity is a "Details" section, also dated "Today 1:02 PM". It lists the following information:

- Legal entity name: Titan Software
- Product: Titan Midas
- SBR service requiring PVT: Titan Midas - EVTE
- Target PVT Entry Date: 13/Aug/18
- Further comments: Would like to schedule in PVT.

On the right side of the ticket, under the heading "Shared with", there are two entries:

- Joe Smith (Creator)
- Titan Software (Remove)

# Modernising the Business Register

**Presented by:**

Natalie Ross

Assistant Commissioner, ABR Platforms

# MODERNISING THE BUSINESS REGISTER | Why modernisation?

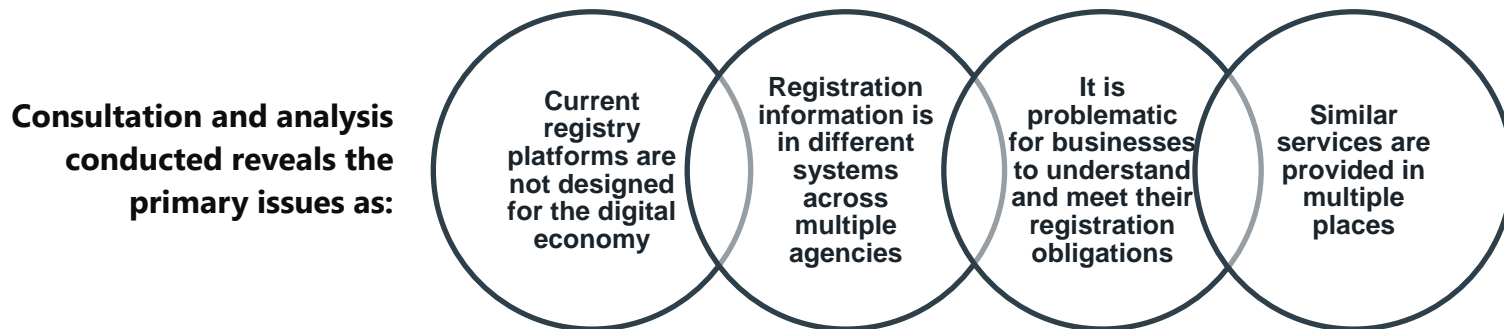
In the 2018-19 Budget, the Australian Government announced that it will modernise the Australian Securities and Investment Commission (ASIC) and Australian Business Register (ABR) business registers on a platform that will be administered by the Australian Business Registrar within the Australian Taxation Office (ATO).

Key business registers and entry points:



Businesses and governments rely on the information contained in these registers.

They expect register information to be accurate, complete and up to date; however, this imposes burdens on businesses that are required to provide information to the registers.



# MODERNISING THE BUSINESS REGISTER

A modernised business register will bring together the ABR and ASIC registration functions, making it easier for businesses to register, establish, and maintain their business registrations. Reliable, integrated data and common APIs will support expansion of the digital economy and open up more opportunities for innovation.

## Making a better business registration service

The streamlined, consistent processes will make it simpler and easier for business, saving them time, decreasing their costs over time, and reducing red tape.



**I want to start a business...**

*I, or my intermediary, have the **same experience** regardless of channel **when I...***

**...explore** my options *Easy to understand and find information*

**...register** *Easy and intuitive  
I only need to provide my details once – they are then used for all consequent interactions*

**...establish** my business *It facilitates applying for the other permits I need for running my business*

**...operate** *Easier to renew  
Update once  
One place to ask for help*

**...close or transfer** my business *Easier to advise those that need to know*

## BUILDING A MODERNISED BUSINESS REGISTER

### A CONSOLIDATED, STABLE, whole-of-government platform...

- ▶ Current, accurate, reliable data
- ▶ Common APIs
- ▶ Integrated processes & systems
- ▶ Extensible and configurable
- ▶ Linked data model
- ▶ Updated legislation

### ACCESSIBILITY...

A single entry point for information and transactions via:



**Government regulators (ASIC, ABR)** To ensure compliance with business obligations

**Academics & researchers** For analysis, to improve the way we do things

## PROVIDING a BASIS for the FUTURE

We can access integrated business registration services & a single, current, trusted source of registration data...



**Businesses** To know who I'm dealing with  
To make informed decisions  
To know my details are up to date

**Business intermediaries** To provide specialist advice and act on behalf of businesses

**Software developers** To create new apps  
To embed in my business product

**Information brokers** To facilitate and provide insights to business

**Other government agencies** For disaster planning and recovery  
To better identify business misconduct & fraud  
For better policy development and provision of services

**Other registers** To host my registration services  
Use to build my own registration platform

## OPENING UP OPPORTUNITIES

### Broader economic outcomes for business and government...

Fostering greater economic activity

Reducing economic losses

A seamless experience for clients via connected government services

Better support for e-commerce innovation

Faster visibility of businesses

Ability to make well-informed, evidence-based policy decisions

Greater flexibility to respond to policy changes



## Australian Business Register



**7.2m Active ABNs**

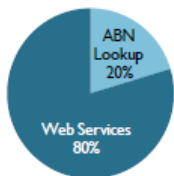


937k Applications  
**856k Registrations**



950k Cancelled

## ABR Search



778m  
Public searches

**ABN Lookup searches**  
by channel

## Australian Company Register

**2.5m Companies**

259k Applications  
**249k Registrations**

2.3m Maintenance  
documents lodged

126k De-registrations

## Australian Business Name Register

**2.2m Business Names**

**348k Registrations**

124k Updates  
41k Transfers

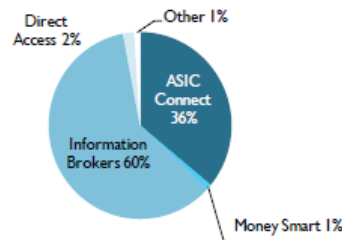
206k Cancelled

## Professional Registers

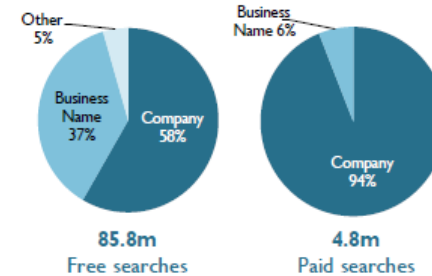
**68k Transactions**

- with registers of:
- AFS licenses
  - Credit licenses
  - Liquidators
  - Company Auditors
  - SMSF Auditors
  - Financial Advisers & AFS Representatives

## ASIC Search



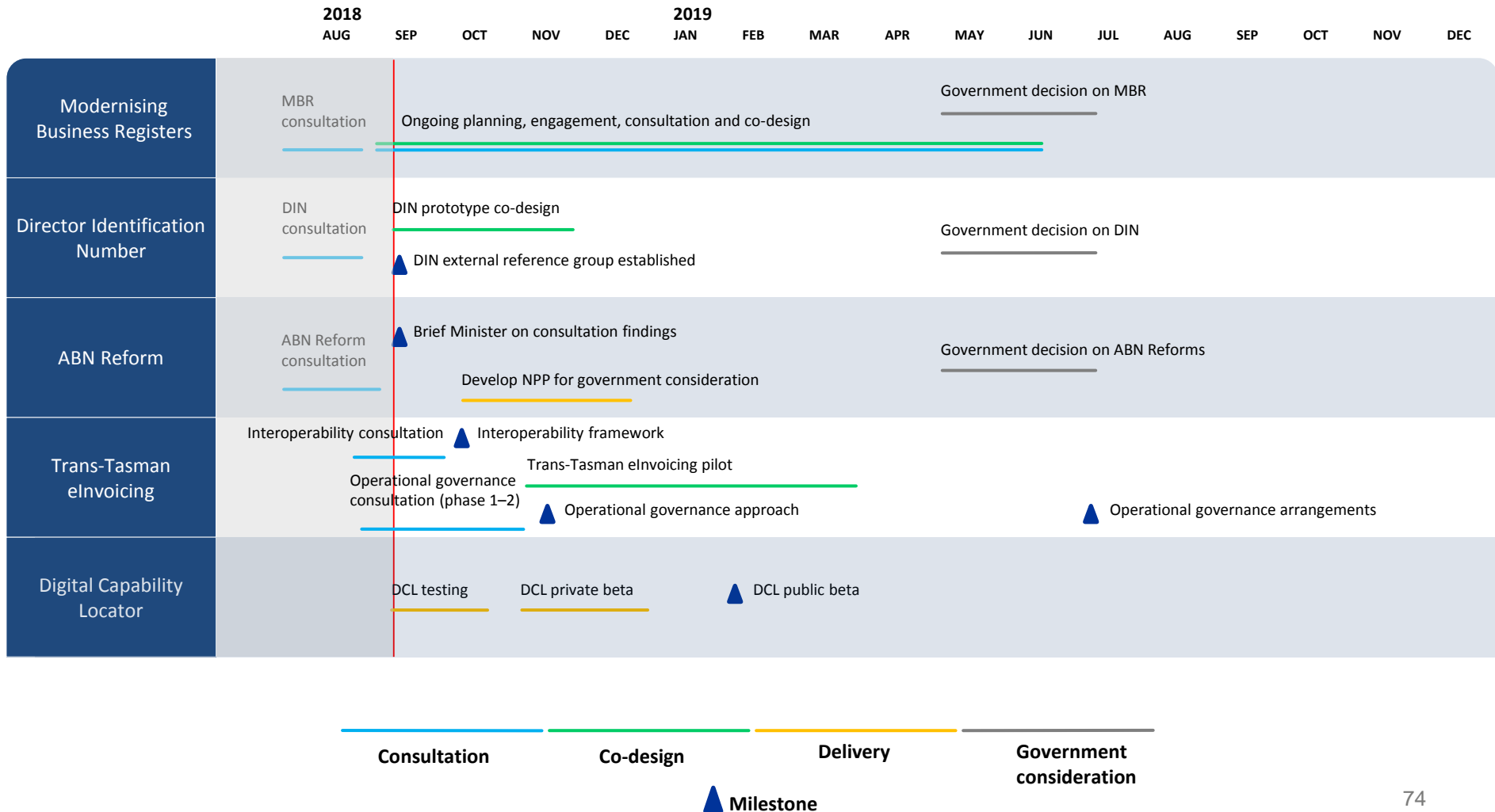
**ASIC searches**  
by channel

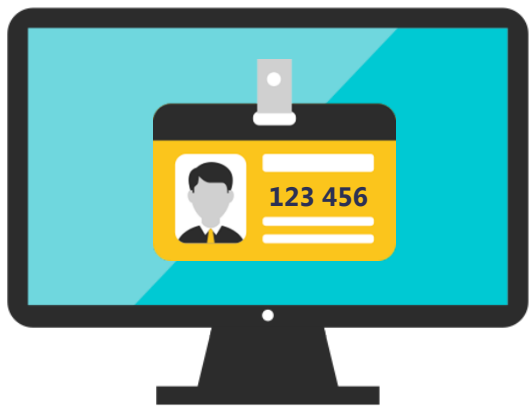


**Total ASIC searches**  
by type

# MODERNISING THE BUSINESS REGISTER | Roadmap of key activities

2018-19 sees a strong focus on discovery and design activities ahead of key government decisions.





## Director Identification Number

- ✓ MBR Public Consultation sessions included DIN
- ✓ Establishment of:
  - Commonwealth multi-disciplinary team
  - DIN ATO Working Group
  - DIN External Reference Group

- ✓ Government is consulting on Black Economy Taskforce recommendations:
  - The introduction of an ABN renewal scheme
  - ABN registration & renewal fees
  - Conditions for ABN holders
  - Changes to ABN entitlement rules
  
- ✓ Responses to public consultation paper received
  
- ✓ Consultation sessions held with key stakeholders in Canberra, Melbourne and Sydney, including a dedicated session for the Tax Practitioners' Stewardship Group



- ✓ Enable an easier & cheaper way to do business



- ✓ Facilitate ease of trade across Australia and New Zealand



- ✓ Progress of Trans-Tasman eInvoicing Working Group



- ✓ Deliverables & timeframe



## DESIGN

- Wholesale First
- Co-design – get the business requirements and design right at the start
- Engage with purpose and timeliness



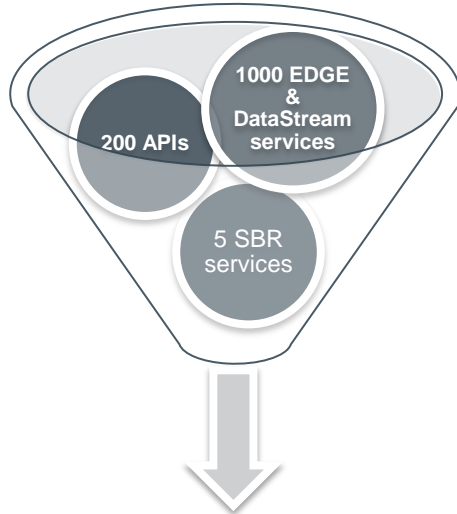
## DELIVERY

- Light weight self discoverable web services
- inexpensive to support and build against

# MODERNISING THE BUSINESS REGISTER | Scope

What and how are we delivering to DSP's?

## Existing ASIC and ABR delivery

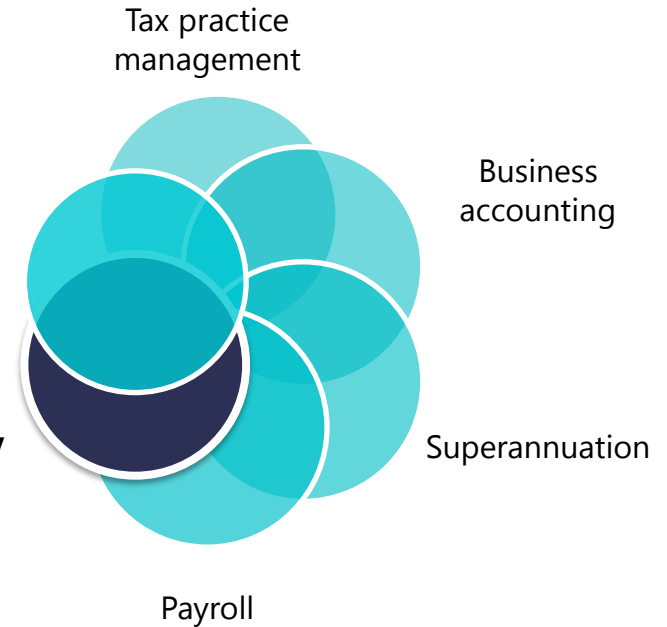


1200 down to 200 services

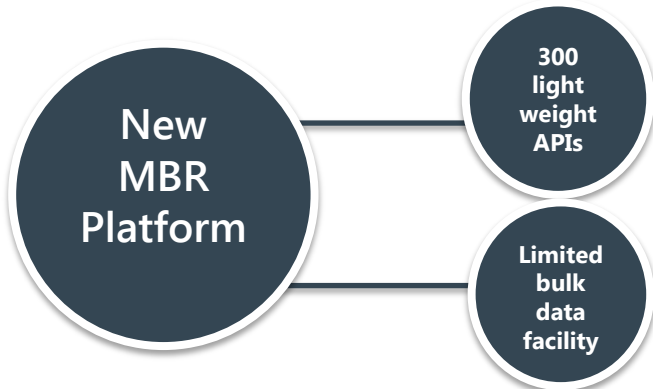
New stakeholder group

**Info brokers and 3<sup>rd</sup> party registrants**

## Digital Service provider stakeholder groups

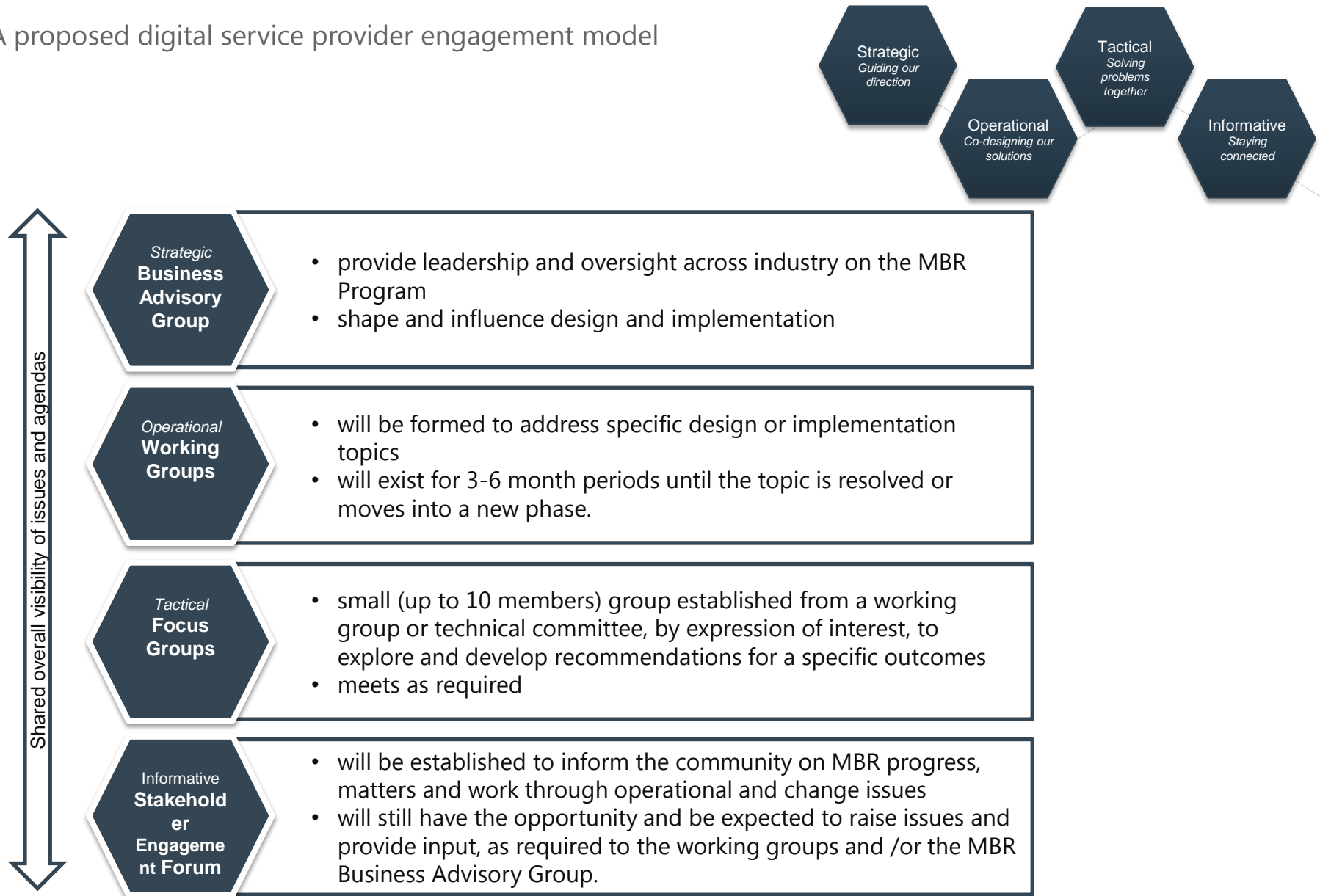


## New ASIC and ABR delivery



# MODERNISING THE BUSINESS REGISTER | MBR External engagement model

A proposed digital service provider engagement model





- ✓ The project team is committed to delivering and maintaining a strategic road map detailing our shared goals and key deliveries to assist our DSP partners in their delivery and commitment to consultation and co-design
- ✓ The current MBR project is expected to span 3-4 years (2019- 2022), and will aim to deliver in tranches of work, that will be established between government and industry
- ✓ Preliminary engagement has begun in addressing the Director Identification Number (DIN)

Afternoon break

# Tax time 2019 changes

# CA 2019-SMSF 01 | SAR SUPERSTREAM ROLLOVERS

## SUMMARY

If the proposed legislation passes, SMSFs will be included into the data standards for rollovers from November 2019.

To achieve this, changes are required to the SMSF annual return (SAR) in order to accommodate the requisite elements for the rollover standard and the SMSF verification service (SVS).

Presented by: Belinda Black

## PRODUCTS

- SMSF annual return and instructions

## BUSINESS REQUIREMENTS SUMMARY

For the SAR, from the 2018-19 year onwards:

### 1. Changes to Section A label 7a

- Title and text within label 7a will be updated to ensure the Fund's bank account details only will be populated at this question.
- Label is to be mandatory. Front facing validation error is to be triggered with links to instructions and the SAR is not to be processed when label not completed. Sample error - *Incorrect or no financial institution details have been provided. Either provide different financial institution details for the purpose of receiving tax refunds or complete the indicator at question at 7A relating to using the account for both super contribution and rollover and tax refund purposes for the SMSF.*
- The SAR will not be able to be lodged electronically until the error is resolved.
- A new checkbox is to be displayed within Section A label 7a
  - Name: *I would like my tax refunds made to this account. Go to C*
  - If the checkbox is selected, then Section A *Fund Information* label 7b can be hidden.
  - If the checkbox is not selected, then Section A *Fund Information* label 7b must be displayed and be a different bank account to that noted in label 7a
- Changes to instructions regarding completion of question 7a and to explain the new checkbox
- Account name is to enable text up to 200 characters.



## 3. Changes to Section A label 7b

- a) title and text within label 7b will be updated to note that a tax agent's bank account can be provided at this label
- b) Account name is to enable up to 200 characters
- c) If the new checkbox is not completed by the lodger in a SAR:
  - i. The FIA details entered at label 7b must be different to those entered at label 7a
  - ii. If either the same FIA details or no FIA details are provided at 7b an error message will be displayed asking for the FIA details to be corrected.
  - iii. The message to be displayed is:
    - i. **Error - Incorrect or no financial institution details have been provided. Either provide different financial institution details for the purpose of receiving tax refunds or complete the indicator at question at 7A relating to using the account for both super contribution and rollover and tax refund purposes for the SMSF.**
  - iv. The SAR will not be able to be lodged electronically until the error is resolved.
- d) Changes to instructions regarding completion of question 7b and to explain the new checkbox

## 4. Update Section A 7C (Electronic Service Address):

- a) Text to include an example of what an ESA looks like
- b) limited to 16 characters and is case sensitive.





# CA 2019-SMSF 02 | SAR 3-YEAR AUDIT CYCLE

## SUMMARY

Government is committed to reducing red tape and compliance burden for SMSF trustees where suitable.

The objective of the measure is to incentivise good record-keeping and compliance by SMSFs whilst maintaining system oversight and integrity.

Under this measure, audits conducted for SMSFs on a three-yearly audit cycle will cover all of the three preceding years, maintaining integrity within the SMSF sector. SMSFs that do not meet the eligibility criteria will not be eligible for a three-yearly audit cycle and will continue to be annually audited. SMSFs that do meet the eligibility criteria can have their audits undertaken annually if they choose to.

In order allow funds to elect to move to a three-year audit cycle, and ensure the ATO has the data required to validate a fund's eligibility for the measure, new labels have been added to the SMSF auditor item in Section A: Fund information of the SAR from the 2019FY SAR onwards.

This Legislation has not yet passed.

Presented by: Belinda Black

## PRODUCTS

- SMSF annual return-  
electronic channels

## BUSINESS REQUIREMENTS SUMMARY

From 2018-19 financial year onwards:

1. A new label will be added to the SAR to indicate whether an audit has been completed
  - i. The new label is to be placed in *Section A : Fund Information Item 6A* as this label will collect information at the fund level.
  - ii. Label question is to be "Was an audit completed for this financial year?"
  - iii. Label to be a 'Yes' or 'No' response only
  - iv. Label is mandatory to be completed



2. A new label will be added to the SAR to indicate whether Part A of the audit report was qualified.
  - 1) The new label to be placed in *Section A: SMSF Auditor Item 6D*
  - 2) Label question is to be “Was Part A of the audit report qualified?”
  - 3) Label is to be a ‘Yes’ or ‘No’ response
  - 4) Label is mandatory when answering ‘Yes’ to the label at item 1 above
  - 5) Label is to be hidden when answering ‘No’ to the new label at item 1.
  
3. A new label will be added to the SAR to indicate if the SMSF had a key event occur
  - 1) This label is to be placed in *Section A: Fund Information Item 6B*
  - 2) Label question is to be “Did any of the following events occur during the financial year?”
  - 3) Events to be listed at the question:
    - i. A new member joined the fund
    - ii. A member was removed from the fund (including a deceased member)
    - iii. The fund acquired an asset from a related party, or
    - iv. The fund made an in-specie lump sum payment to a member
  - 4) Label to be a ‘Yes’, or ‘No’ response
  - 5) Label is mandatory to be completed when answering ‘No’ to the new label at item 1
  - 6) Label is to be placed immediately after the new label at item 1
  - 7) A new alert is required to remind trustees and agents that they need to update their details on ABR or a change of details form must be completed for a new member
  
4. A ‘Key event’ has occurred where any of the following are true on the SAR:
  - 1) The fund has selected ‘Yes’ at the new label at item 3, or
  - 2) The amount at Section B Label U “Net non-arm’s length income” is greater than zero, or
  - 3) The amount at Section H Label J “Limited recourse borrowing arrangements” is greater than zero, or
  - 4) The fund has selected ‘Yes’ at Section H Label 15d “In-house assets”, or
  - 5) Fund selects ‘Yes’ at Q9 – Was the fund was wound up during the financial year?





5. When a SAR for the 2018-19 financial year is being submitted electronically a front facing validation error is to be triggered with link to instructions and the SAR is not allowed to be submitted where the following is true:
  - 1) The label at item 1 (Has the fund had an audit completed?) is 'No', and
  - 2) The label at item 3 (Did any of the following events occur during the financial year?) is 'Yes'Proposed error message: "Error - An audit must be completed as the fund is not eligible for a three-year audit cycle. Your lodgment can not be completed until an annual audit is completed by your registered approved auditor"
6. Existing title for Part A Item 6 'SMSF auditor' is to be updated to be 'SMSF audit'.
7. Link to instructions and supporting material must be available.
8. Trustee declaration to be updated to ensure reference to audit report is only where required. (change in red):
  - 1) I declare that current trustees and directors have authorised this annual return and it is documented as such in the SMSF's records. I have received the audit report (if required) and I am aware of any matters raised. I declare that the information on this annual return, including any attached schedules and additional documentation is true and correct. I also authorise the ATO to make any tax refunds to the nominated bank account (if applicable).



9. Wording update to existing label 6C (If the audit report was qualified, have the reported compliance issues been rectified?). Update required due to addition of Part A qualification question. New wording of question:
  - 1) If the audit report was qualified, have the reported issues been rectified?
  
10. A new label will be added to the SAR to indicate whether a fund has assets in crypto-currency.
  - 1) This will be used by trustees to report the value of their crypto-currency amount.
  - 2) The new label is to be placed in Section H: Assets and liabilities as this label will collect information at the fund level.
  - 3) The new label is to be a value field and named 'Crypto-currency' and be captured as label 'N'
  - 4) The new label 'N' is to be within a new sub heading after 15b and called 15c Other investments
  - 5) The existing 15c Overseas direct investments will move to 15d and all other subsequent sub headings will be modified accordingly
  - 6) The new label 'N' is to form part of the calculation of label 'U'.



# SMSF SAR | CHANGES REQUIRED

## 6 SMSF audit

Was an audit completed for the financial year?

**A** No

Choosing 'No' means you are declaring eligibility for the three-year audit cycle as you have passed the good record-keeping and compliance test

Yes  Go to Auditor's Name

Did any of the following events occur during the financial year?

**B** No  Yes

- A member joined the fund
- A member was removed from the fund (including a deceased member)
- The fund acquired an asset from a related party, or
- The fund made an in-specie lump sum payment to a member

**C** The SMSF annual return cannot be used to notify us of a change in fund membership. You must update fund details via [ABR.gov.au](http://ABR.gov.au) or complete the *Change of details for superannuation entities* form (NAT 3036).



SMSF Auditor Number

Auditor's phone number

Postal address

Suburb/town

State/territory

Postcode

Date audit was completed **C**   /   /

Was Part A of the audit report qualified? **D** No  Yes

Was Part B of the audit report qualified? **E** No  Yes

If the audit report was qualified, have the reported issues been rectified? **F** No  Yes

# SMSF SAR | CHANGES REQUIRED

## 15c Other investments

Crypto-Currency **N** \$ ,,.X

## 15d Overseas direct investments

Overseas shares **P** \$ ,,.X

Overseas non-residential real property **Q** \$ ,,.X

Overseas residential real property **R** \$ ,,.X

Overseas managed investments **S** \$ ,,.X

Other overseas assets **T** \$ ,,.X

**TOTAL AUSTRALIAN AND OVERSEAS ASSETS** **U** \$ ,,.X  
(Sum of labels **A** to **T**)

## 15e In-house assets

Did the fund have a loan to, lease to or investment in, related parties (known as in-house assets) at the end of the income year? **A** No  Yes  \$ ,,.X

## 15f Limited recourse borrowing arrangements

If the fund had an LRBA were the LRBA borrowings from a licensed financial institution? **A** No  Yes

Did the members or related parties of the fund use personal guarantees or other security for the LRBA? **B** No  Yes

## SUMMARY

In the 2017 Budget the Government announced a superannuation integrity measure whereby an amount for outstanding Limited Recourse Borrowing Arrangement (LRBA) balances would form part of an individual's Total Superannuation balance (TSB). This was designed to reduce the attractiveness of members manipulating their TSB by drawing down their interest and returning those moneys to their SMSF through an LRBA. This is to apply to new LRBA's from 1 July 2018 with members who have met a "nil cashing" condition of release, or all members if the LRBA is provided by an associate of the SMSF (commonly referred to as a related party loan). If the proposed legislation passes, the calculation of an individual's TSB will now include the new LRBA amount. SMSFs will report this new LRBA amount on the SMSF Annual return (SAR).

Presented by: Belinda Black

## PRODUCTS

- Self-managed superannuation fund annual return

## BUSINESS REQUIREMENTS SUMMARY

From 2018-19 financial year onwards: :

- A new label "Y" will be added to the SMSF annual return in the Members information Sections F and G after Closing account balance, named "Outstanding LRBA balance amount"
  - Front facing validation error is to be triggered with links to instructions and the SAR is not to be processed when the total of amounts reported at the new LRBA label 'Y' for all members is greater than the amount reported at Section H Liabilities "Borrowings for limited recourse borrowing arrangements"
    - Proposed error message: "Error – Borrowings for LRBA must not be less than the total of LRBA amounts reported against each member."

OTHER – Modernisation of the ABN

- A new label will be added to the SMSF annual return in the Members information Sections F and G for members to report Director Identity Number (DIN)



# CA 2019-SMSF 04 | SAR DOWNSIZER

## SUMMARY

In the 2017-18 Budget the Government announced the *contributing the proceeds of downsizing to superannuation* measure to reduce pressure on housing affordability.

This law has been passed which allows individuals to use the proceeds in relation to one sale of their main residence to make contributions (downsizer contributions) of up to \$300,000 to their superannuation provider if they are 65 years of age or over and meet all the eligibility requirements.

Downsizer contributions can be made regardless of the other contributions caps and restrictions that might apply when making voluntary contributions.

**Presented by:** Belinda Black

## PRODUCTS

- SMSF annual return and instructions

## BUSINESS REQUIREMENTS SUMMARY

For the 2018-19 financial year onwards the SMSF annual return must be updated to allow for a Downsizer amount to be reported along with the date in which the event took place.

- New label - "Proceeds from primary residence disposal" (to report Downsizer contribution amount)
- New label – "Receipt date" (to report date the Downsizer contribution was made to the super fund bank account)
- Location of the new labels - Section F 'Member information'
- New front facing validation rules to limit the amount reported at "Proceeds from primary residence disposal" to be less than or equal to \$300,000.00

The Downsizer contribution information is to be reported in the SAR in the financial year in which the contribution is made.

Link to instructions and supporting material must be available.





## SUMMARY

Currently Self-managed super funds (SMSFs) and Small APRA Funds (SAFs) can only have a maximum of 4 active members. If legislation passes from 1 July 2019, SMSFs and SAFs will be able to have up to 6 active members.

Updates of the electronic channels for fund registration, for changing fund details and eSAT are required to allow:

- an SMSF to have up to 6 members and, either up to 6 individual trustees or up to 6 directors of the corporate trustee, and
- A SAF to have up to 6 members.

**Presented by:** Belinda Black

## PRODUCTS

- Electronic *ABN registration for superannuation entities* form
- Electronic *Change of details for superannuation entities* form
- Electronic Superannuation Auditing Tool (eSAT)

## BUSINESS REQUIREMENTS SUMMARY

### **Electronic *Change of details for superannuation entities* form**

1. The electronic *Change of details for superannuation entities* form will allow SMSFs to report up to 6 members and to complete the notice
2. Three new member detail labels will be added to Section J – Question 22. Additional labels:
  - a. INDIVIDUAL THREE
  - b. INDIVIDUAL FOUR
  - c. INDIVIDUAL FIVE
3. The existing validation rules on the electronic *Change of details for superannuation entities* form for updating member details and Section J Question 22 will apply to the new maximum of 6 active members.
4. Guidance material and instructions will be updated to reflect the changes to the membership limits for SAFs and SMSFs.





## Electronic ABN registration for superannuation entities form

7. The electronic *ABN registration for superannuation entities* form will allow SMSFs to report up to 6 members.
8. The existing validation rules on the electronic *ABN registration for superannuation entities* form for updating member details will apply to the new maximum of 6 active members.
9. Update wording within the SMSF Electronic funds transfer (EFT) screen:
  - a. Remove: *We will use this account when we make super payments to the ATO Regulated Self-Managed Superannuation Fund*
  - b. Replace with: *Do not enter a tax agent's financial institute account details*
  - c. Remove second alert: *The account details provided must be held by the ATO Regulated Self-Managed Superannuation Fund. Do not insert a tax agents financial institution account details*
  - d. Add checkbox: *I would like my tax refunds made to the account provided above*
10. Add new question: *Financial institution account details for tax refunds*
  - a. Content: *Refunds will only be paid directly into a recognised financial institution account located in Australia. The account details must be held by:*
    - i. *the entity (solely or jointly)*
    - ii. *the entity's registered tax agent or BAS Agent, or*
    - iii. *a legal practitioner acting as trustee or executor for the entity.*

*! If you do not provide these details we cannot refund money owed*

*Provide the financial institution account for your tax refunds.*
  - b. Add labels: BSB code  
Account number  
Full account name – for example, ABC Superannuation Fund.
  - b. Apply the same formatting and validation rules to these new bank account labels as to what occurs in existing financial institution details question
11. Add content to Electronic service address alias (ESA): An ESA is case sensitive. For example, SMSFdataESAAlias.



## Electronic Superannuation Auditing Tool (eSAT)

12. When the auditor is required to report the number of members an SMSF has, eSAT must enable them to report up to 6 active members and have an option for 7 or more.
13. When the auditor reports an SMSF has 7 or more members, the current validation that impact the option of '5 or more members' must apply.
14. When the auditor reports an SMSF with 5 or 6 members, the current validations that are consumed for 1 – 4 members must apply.
15. Updated wording within the existing case study and guidance material

## OTHER – Transfer balance cap

1. Additional instructional changes may be included detailing how to report a SMSF who has failed to comply with a Transfer Balance Cap commutation authority

# THANK YOU



Wrap up