



Australian Government
Australian Taxation Office

New Service Overview: Shares and Units Transactions

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PLS working group meeting
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SHARES AND UNITS DATA| Overview

The intent of the new service is to provide detailed shares and unit transaction information to taxpayers and agents.

Currently, information provided to tax payers regarding shares and unit transactions is limited by the number of records it can return (20 records) and the information of those records (only provides disposal information).

A new service will be made available to DSP's which will detail purchase and disposal (sale) transactions and additional information associated to that transaction to assist when completing an individuals income tax returns.

SHARES AND UNITS DATA| Business context

Client base

Individuals

Data collection

ATO has been collecting share and managed funds transaction data, including purchase and disposal (sale) information.

This transaction data has been provided from various third parties including:

- ASX, share registries,
- Brokers,
- Managed fund providers

Data information

- Disposal (sale) and purchase data collected
- Sale data used for several years
 - provide notification of possible CGT event via prefill
 - to aid in lodging CGT correctly e.g. by providing Purchase data to help establish cost base
- Data to be provided via several channels
 - ATO Online for individuals
 - OSFA for agents
 - SBR (new service)

SHARES AND UNITS DATA | Expected business flow

CGT notification provided in prefill

- *E.g. 200 Telstra Shares Sold*

Use new service to request all details about Telstra shares

- returned same disposal information as prefill
- plus any other transactions – purchases, other disposals

Returns all matched data ATO holds - not guaranteed to be all data

Input request data

- Client TFN, Security ID (max 1000), start and end date range (optional)

Response data

- Security ID, name txn date, txn type, quantity, unit price, total, number of investors

SHARES AND UNITS DATA| Technical information

BBRP only

- Potentially large amount of data returned
- Maximum 10k response returned
- Can call multiple times for larger volumes

XML

- C# validation rules

Additional information

- Optional to use
- Not linked to the annual tax time
- No expectation the service would version up each year
- No explicit link to tax lodgment – available any time